

# Techniques, Observations, and Diagnostics of Protoplanetary Disks: Inner Disk

Joan Najita (NSF's NOIRLab)  
2021 Sagan Summer Workshop

# Who am I?

## **A research astronomer in Tucson, Arizona**

- Study star and planet formation, the Milky Way, etc.
- Theory, observations, archival data, storytelling

## **Staff astronomer at an Observatory (NSF's NOIRLab)**

- NOIRLab is the unification of NOAO, Gemini Observatory, and Rubin Observatory, which is carrying out the Legacy Survey of Space and Time (LSST).
- At NOIRLab, we plan and deliver new facilities, initiatives, instruments, observing modes, data systems and analysis tools...to enable anyone with a good idea to pursue it using forefront capabilities.

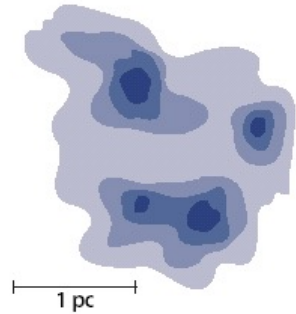
**Welcome to contact me:** [joan.najita@noirlab.edu](mailto:joan.najita@noirlab.edu)

# UV/IR, Inner Disks, Planet Formation

Brief sampling of techniques, ideas, science:

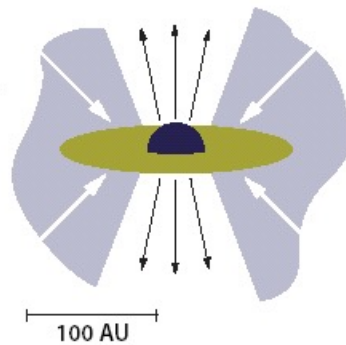
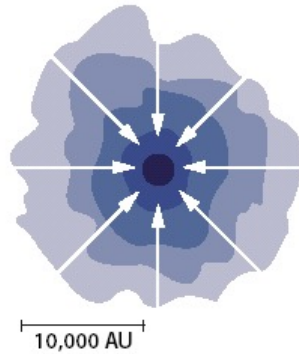
- Stellar accretion
  - Inner disk lifetime
  - Nature of transition disks
- Disk structure and substructure
  - Inner gas disk radii
  - Orbiting gaseous circumplanetary disks
- Disk chemistry
  - Probe planetesimal formation (otherwise elusive)
- Disk dynamics
  - Possible accretion in action

# Disks in Star and Planet Formation



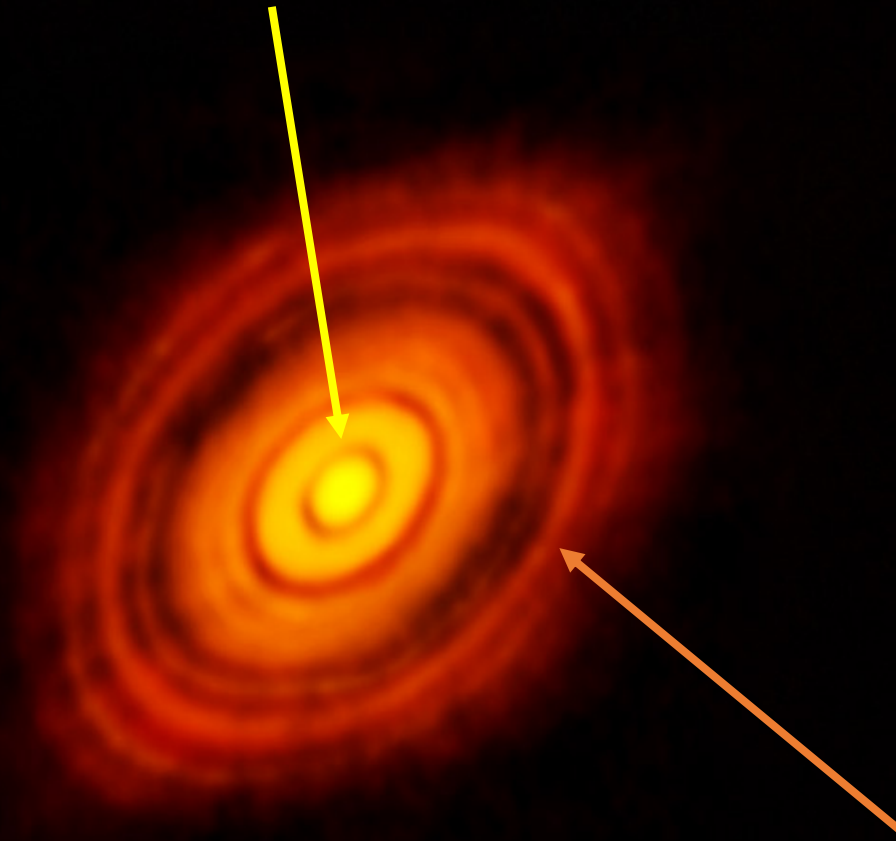
Molecular cloud cores have finite angular momentum.

When they collapse, disks form.

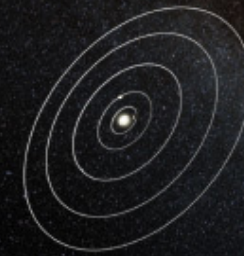


Stars grow by accretion through disks.  
Planets also form there.

Inner disk (< 10 au)

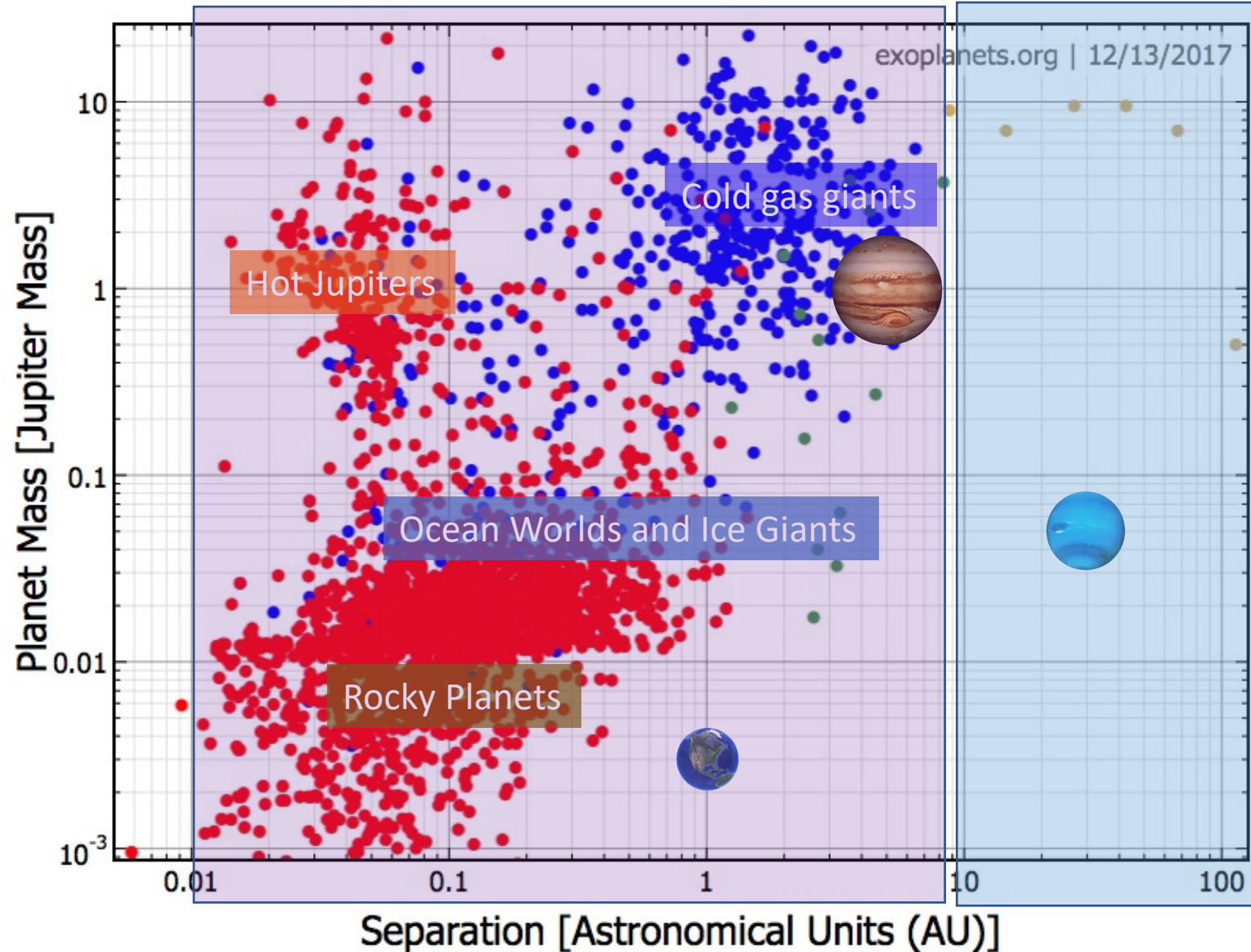


Outer disk: talk by Laura Perez



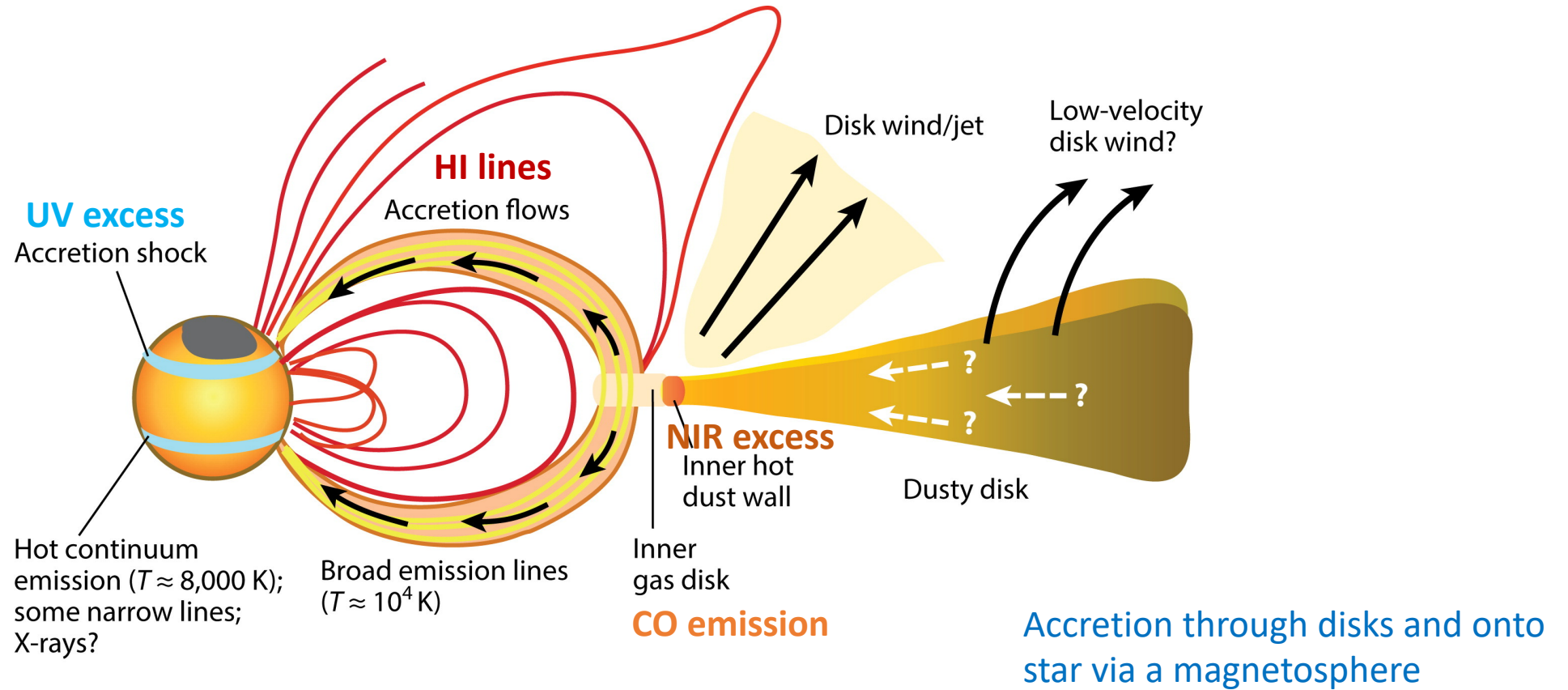
# Exoplanet Populations

High resolution  
Spectroscopy:  
Probes planet  
formation region

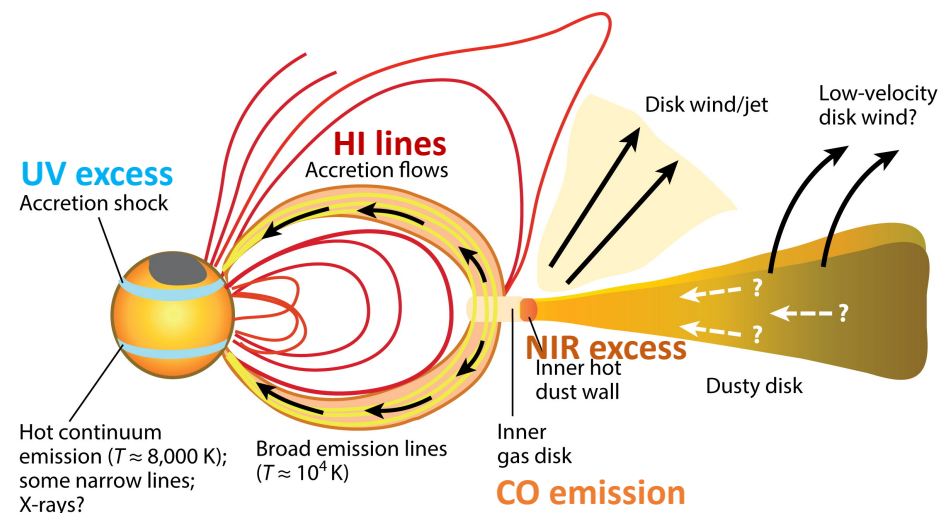


Imaging:  
ALMA, high  
contrast NIR

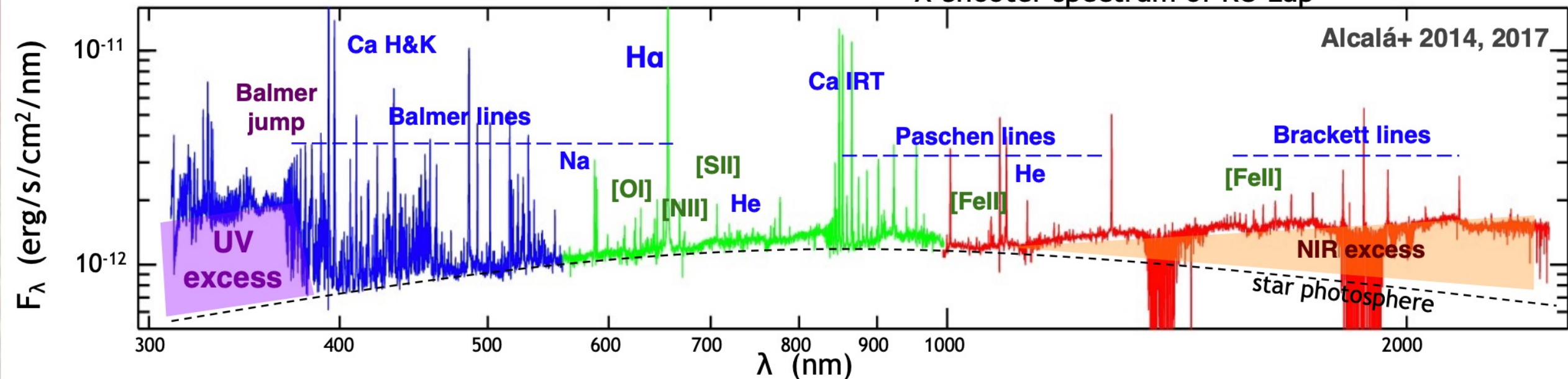
# The near-stellar environment of T Tauri Stars



# NUV/Optical Diagnostics



X-Shooter spectrum of RU Lup



Credit: Alcalá in "Accretion & winds/outflows in solar-type YSOs"



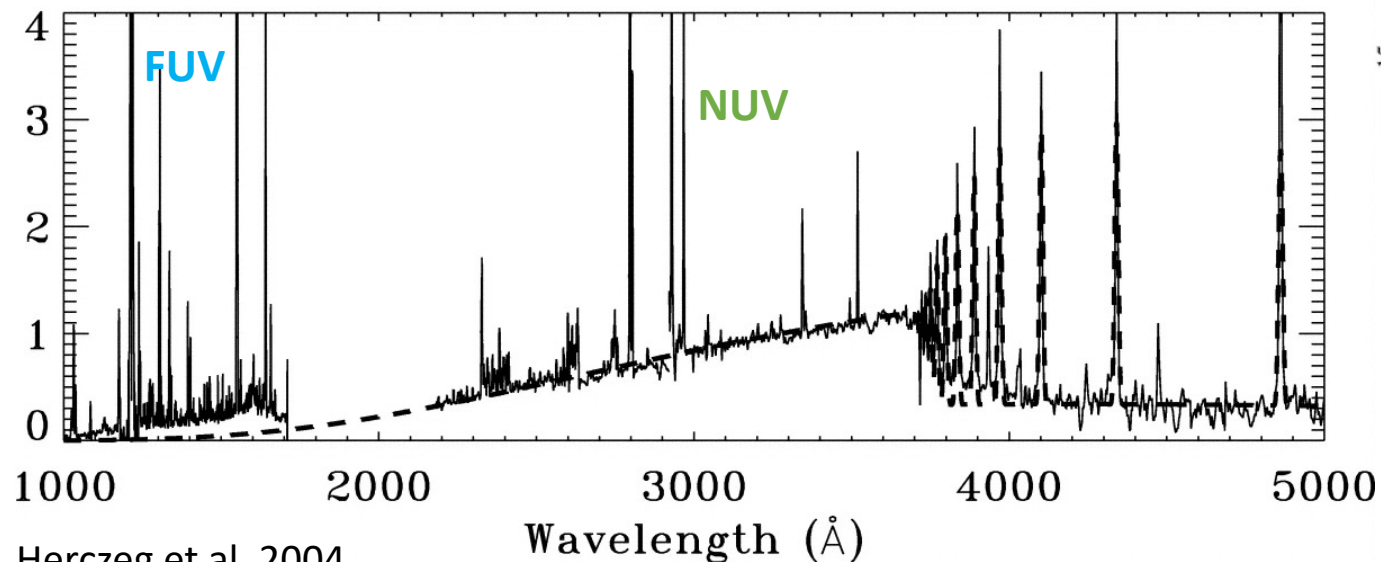
# FUV Diagnostics

**Atomic lines (e.g., CIV):** diagnose accretion

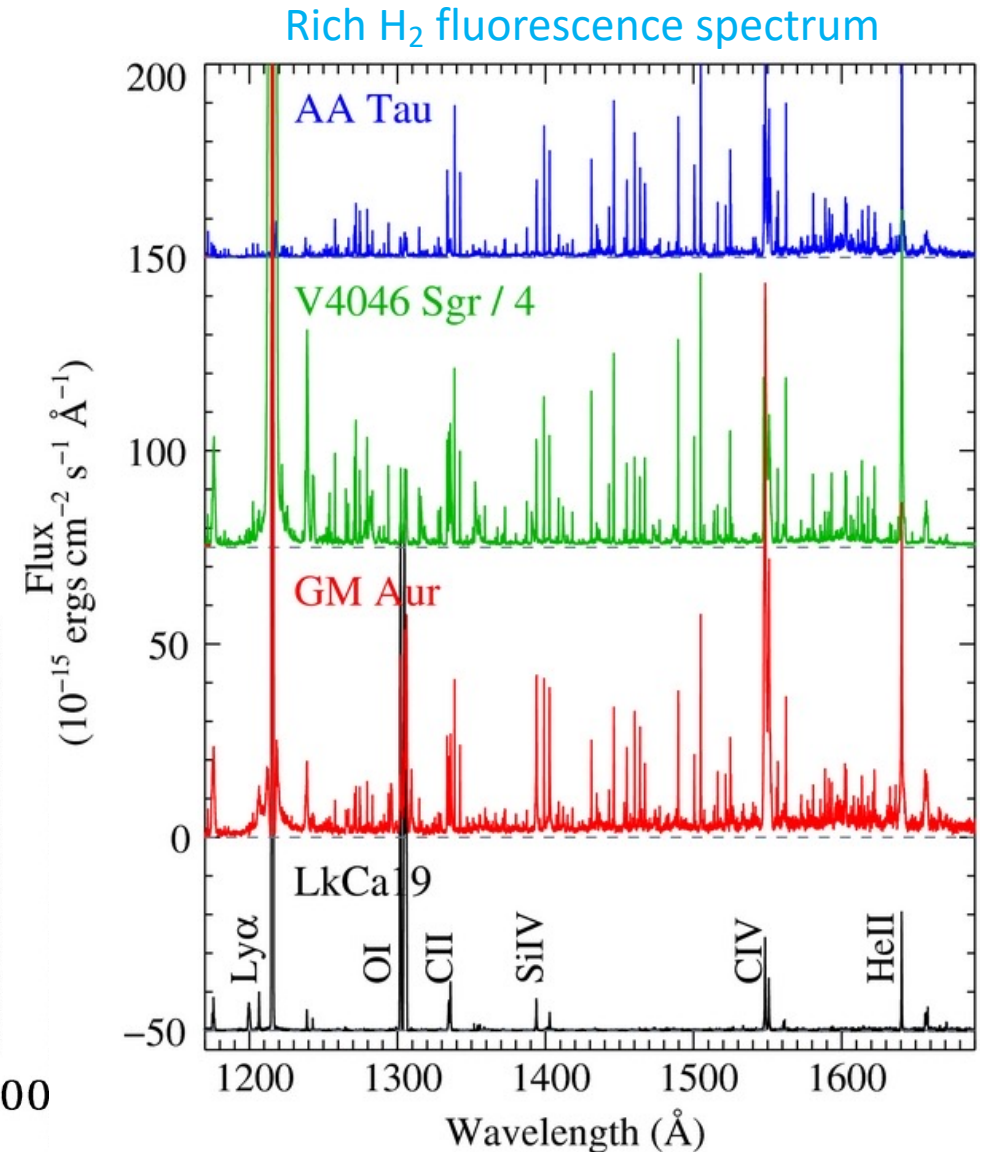
**Ly  $\alpha$ :** dominates FUV luminosity, affects disk chemistry

**H<sub>2</sub> fluorescence:** probes Ly  $\alpha$ -irradiated disk

**EUV and soft X-rays:** disk photoevaporation



Herczeg et al. 2004



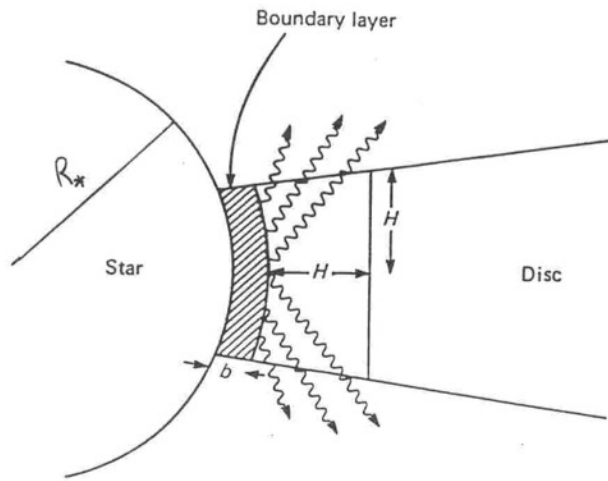
France et al. 2012

# High res spectroscopy (line profiles)

Nature of stellar accretion (it's magnetospheres)

# Accretion onto Star

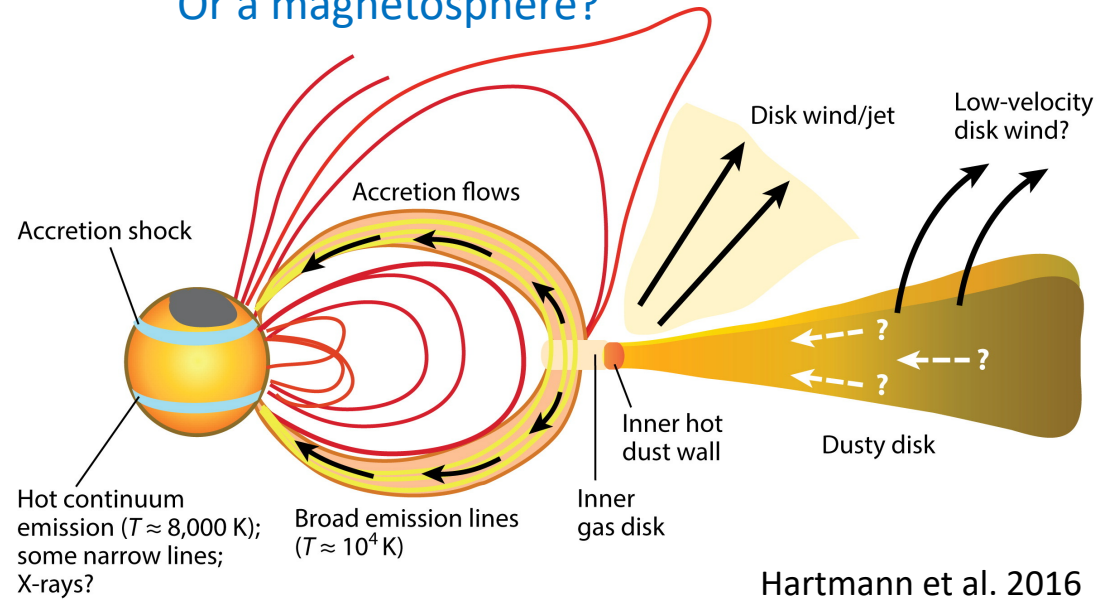
Via a boundary layer?



Credit: B. Ryden

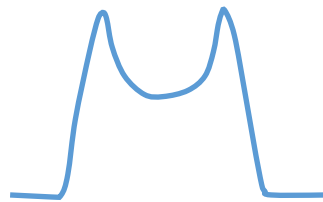
$$b < H < R_*$$

Or a magnetosphere?



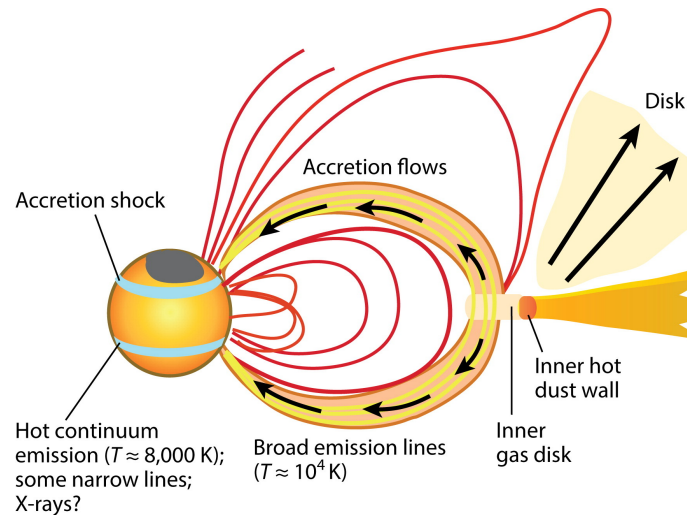
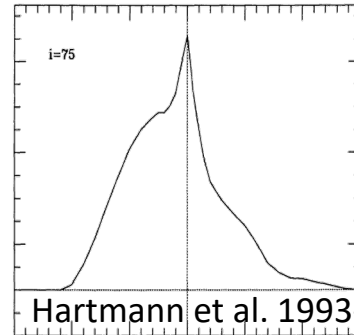
Hartmann et al. 2016

Double-peaked profile from a thin annulus

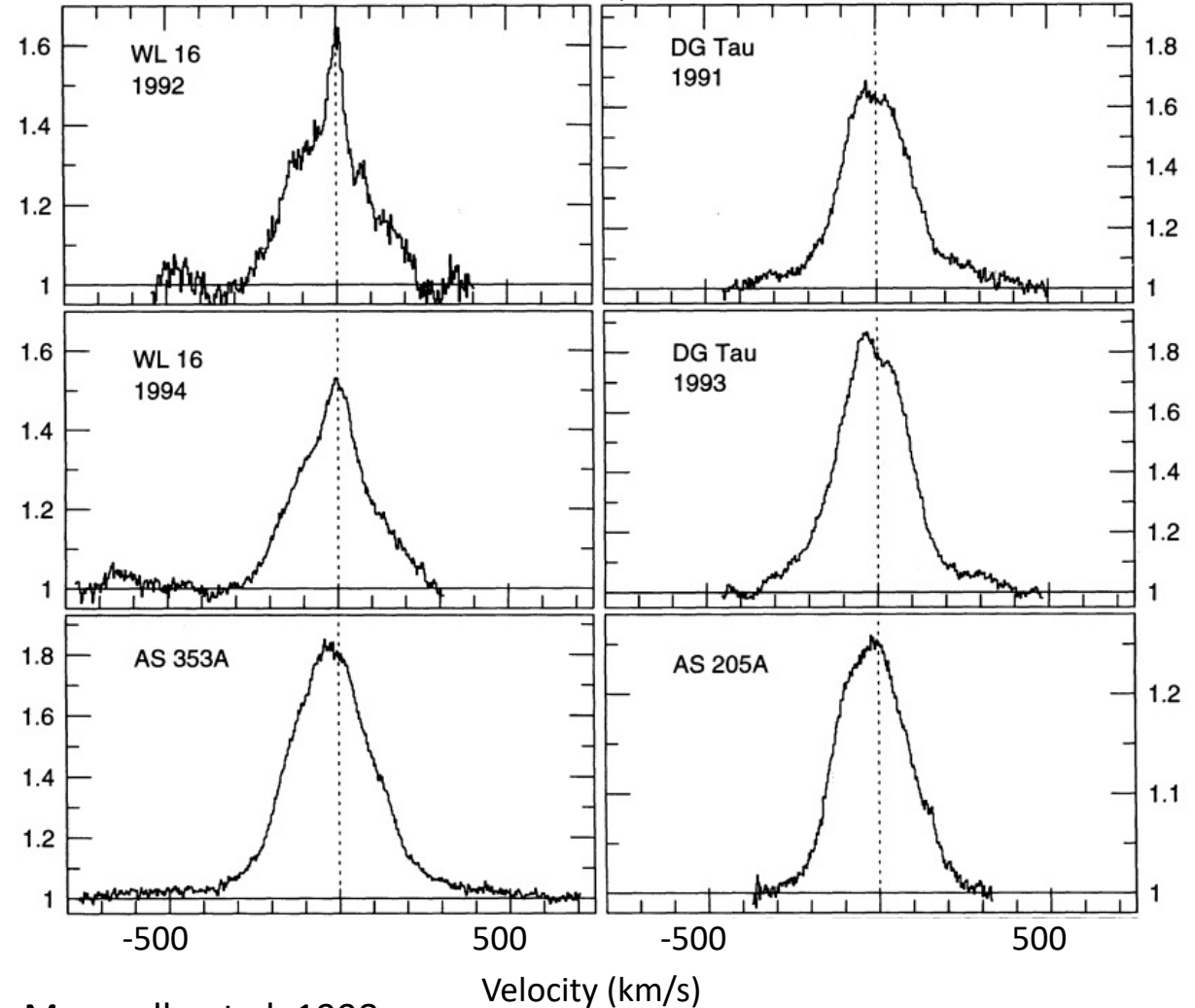


# Stellar Accretion via Magnetospheres

Theoretical profiles



Br  $\gamma$  Najita et al. 1996



See also Calvet & Hartmann 1992; Hartmann et al. 1994; Muzerolle et al. 1998

# Low res spectroscopy (line fluxes)

Measuring accretion

# Measuring Accretion Rates

**Luminosity method:**

$$L_{\text{acc}} = \frac{G M_{\star} \dot{M}_{\text{acc}}}{R_{\star}} \left( 1 - \frac{R_{\star}}{R_{\text{in}}} \right)$$

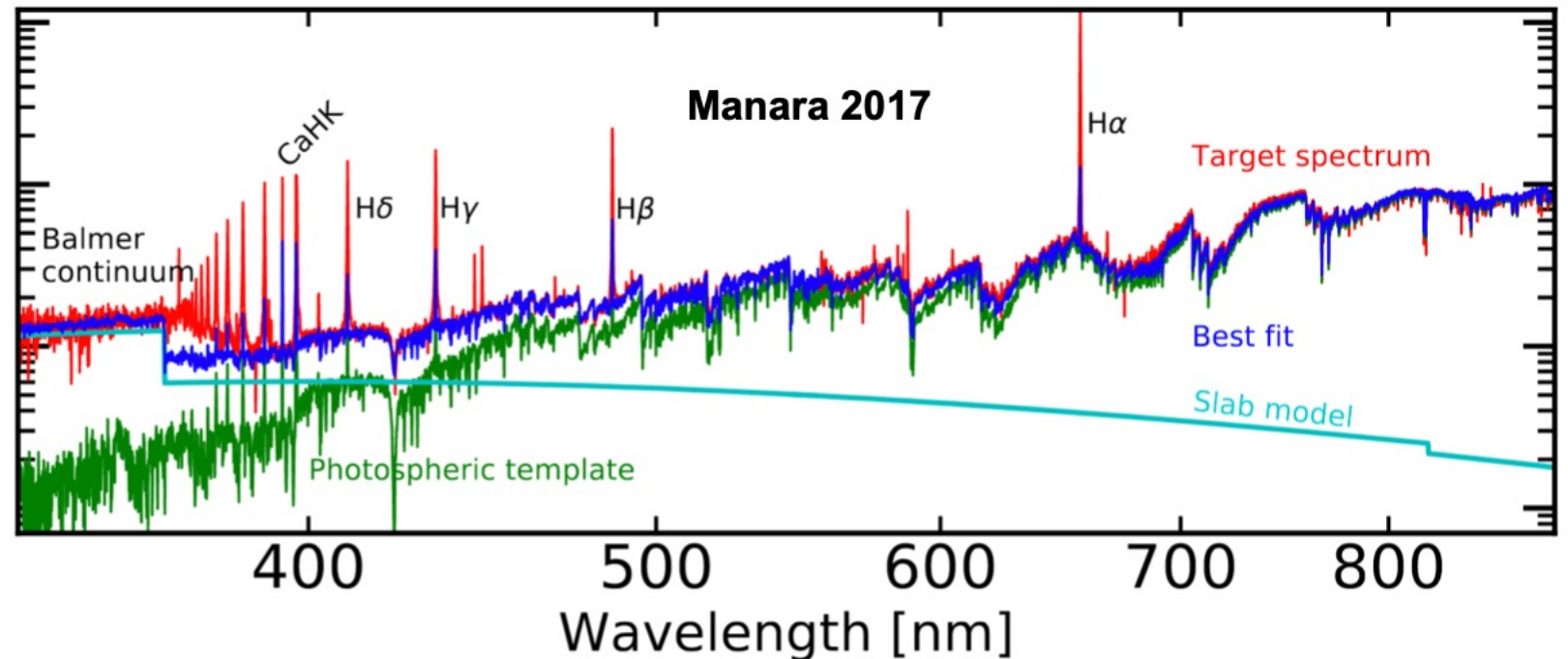
Credit: Alcalá in “Accretion & winds/outflows in solar-type YSOs”

**Primary indicator:**

Measure UV continuum luminosity.

**Secondary indicators:**

Correlate UV flux with line fluxes (H I lines, C IV, etc)

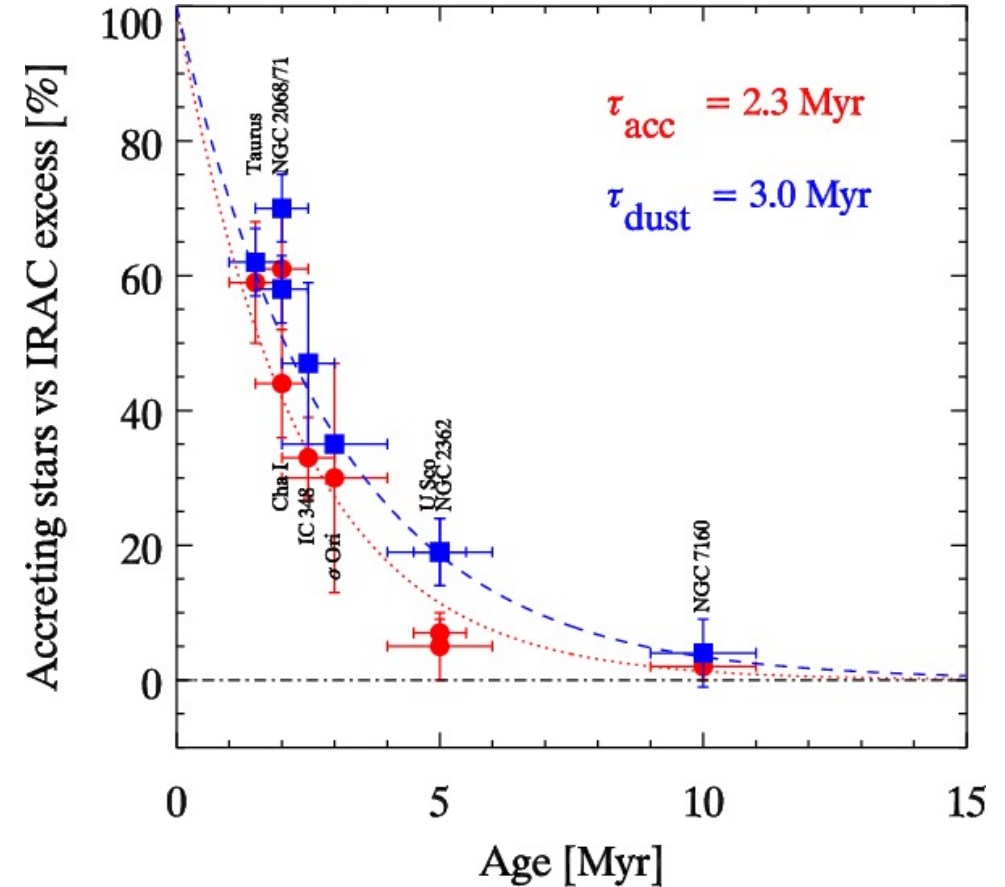
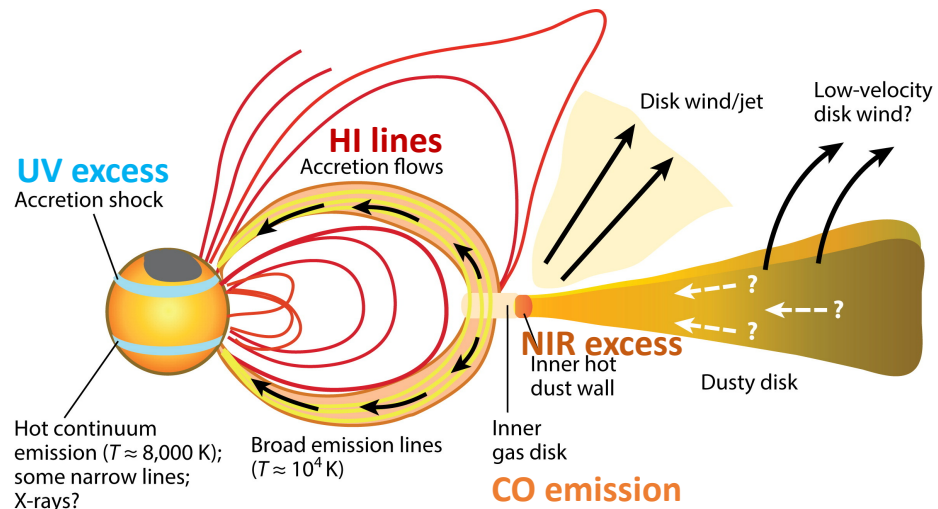


# Accretion demographics

Gas disk lifetime, nature of transition disks

# Accretion and gas disk lifetime

Fraction of accreting sources in clusters of different ages  
constrains gas dissipation time of inner disk



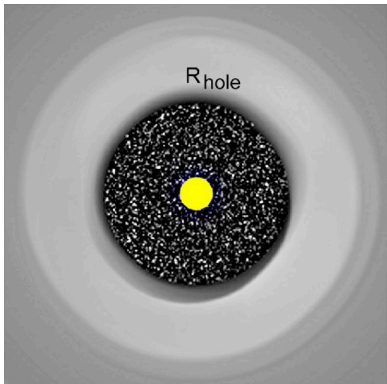
Fedele et al. (2010)



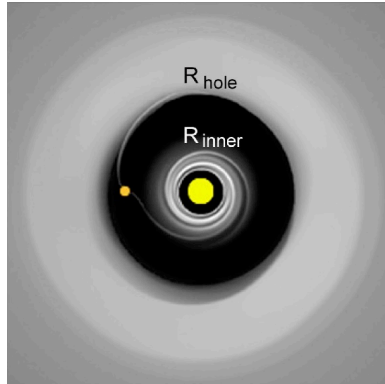
# Accretion and nature of transition disks\*

\*Protoplanetary disk whose center is optically thin in continuum

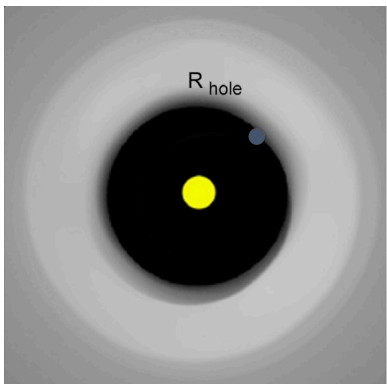
Planetesimal formation



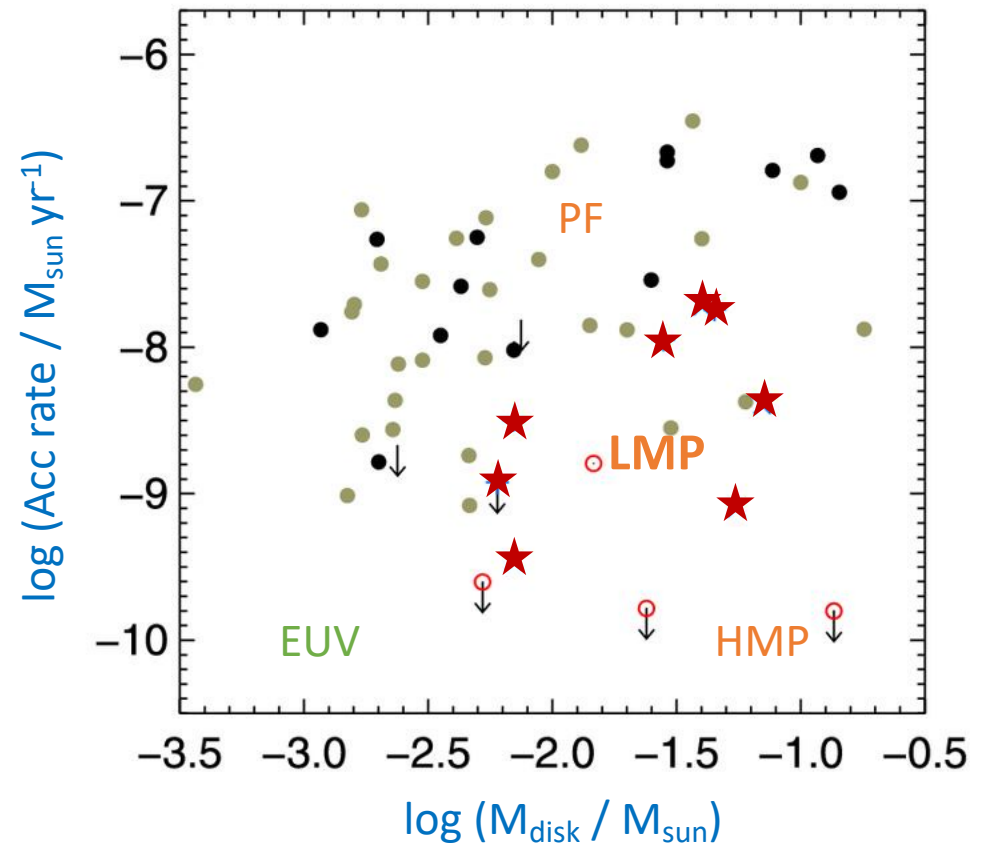
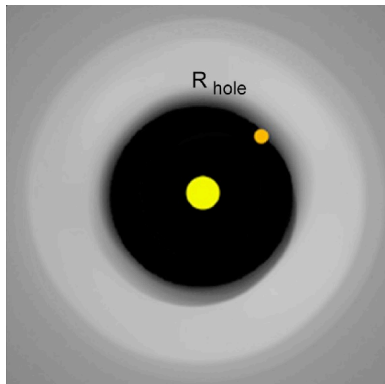
Low-mass gas giant



EUV Photoevaporation



High mass gas giant

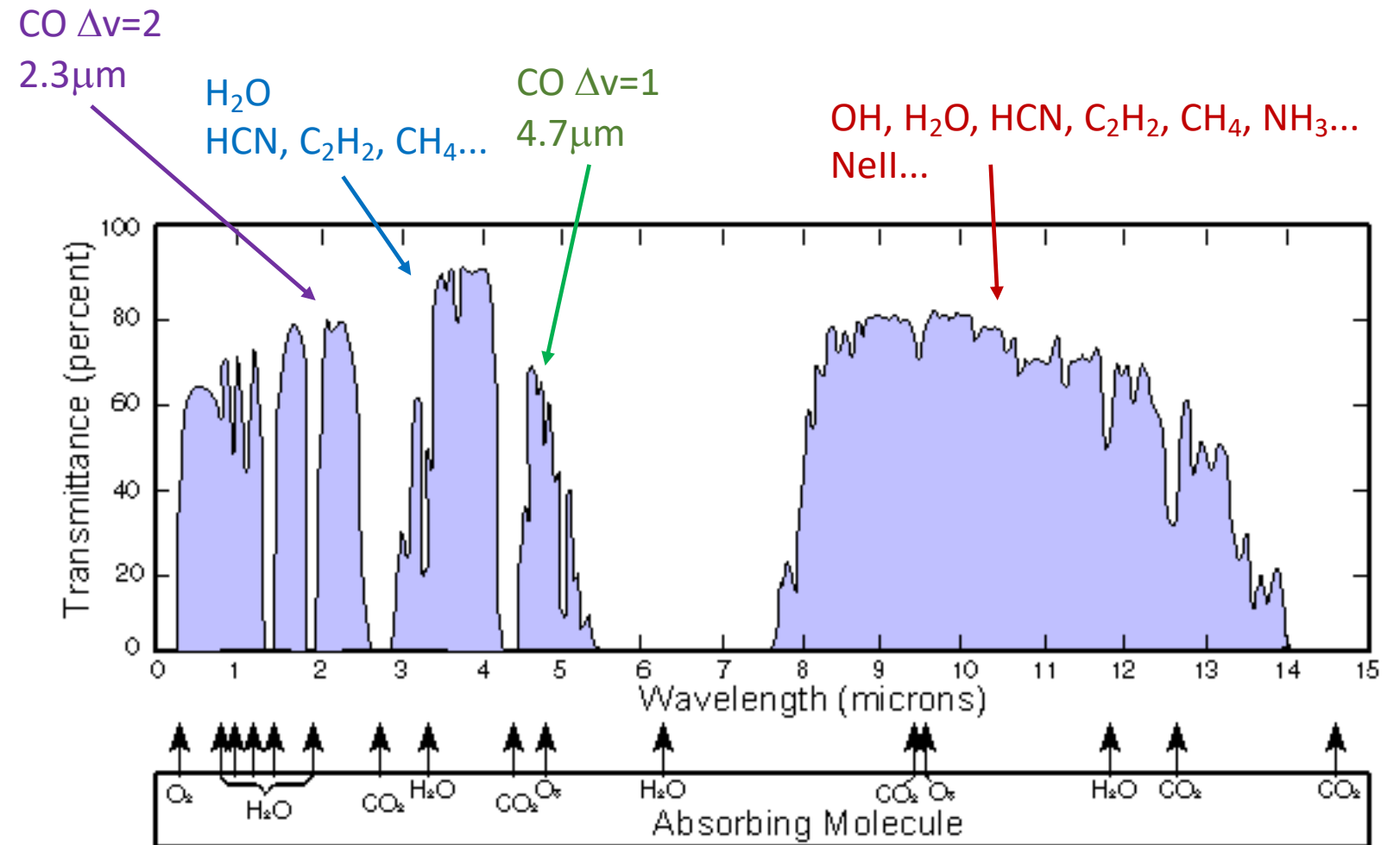


See Najita et al 2008, 2015; Kim et al. 2016

# IR Spectroscopy and Disks

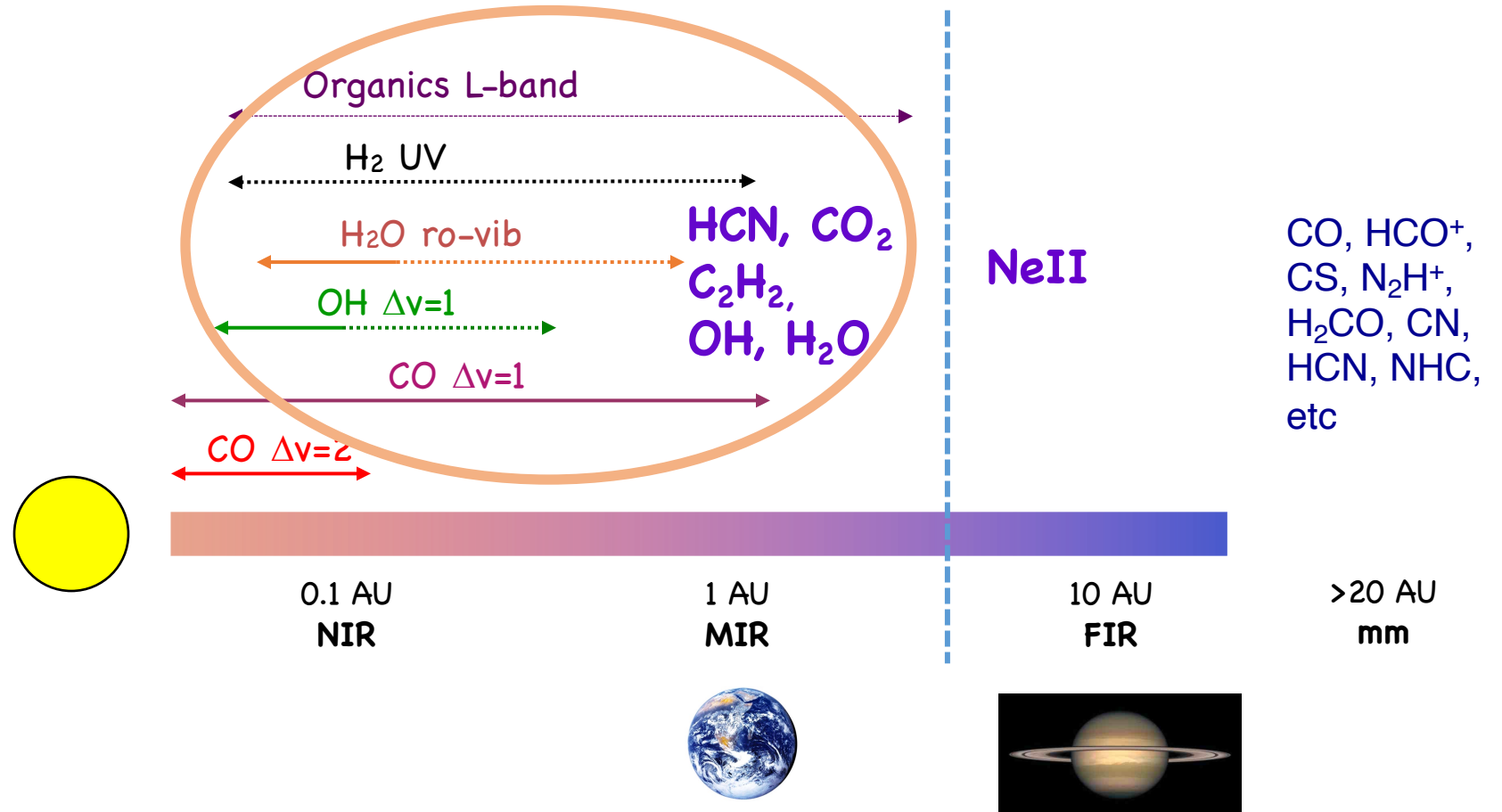
## Probes of disk

- Dynamics
- Structure
- Chemistry



# Gaseous Probes of Inner Disks

IR molecular diagnostics probe planet formation region within the snow line

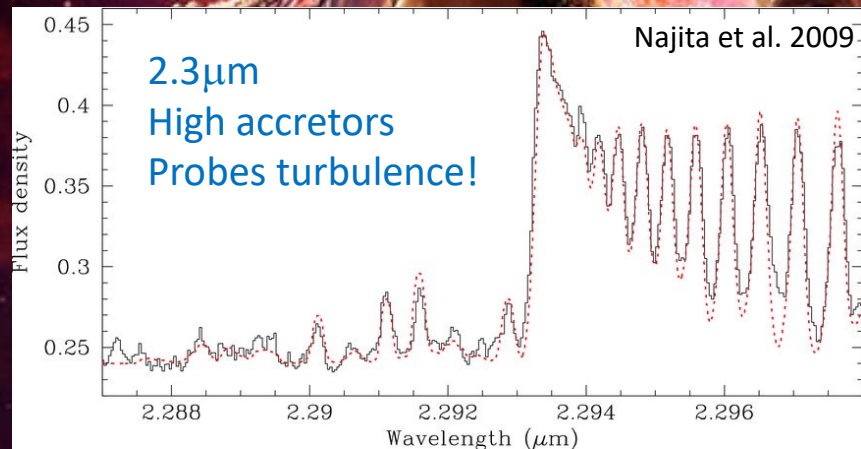


# CO Fundamental!

4.7 $\mu\text{m}$

Probes disk (sub)structure!

# CO Overtone!



# MIR Water / Organics!

12-18  $\mu\text{m}$

Disk chemistry,

Planetesimal formation, solid migration

Disk surface accretion

# UV/IR, Inner Disks, Planet Formation

Brief sampling of techniques, ideas, science:

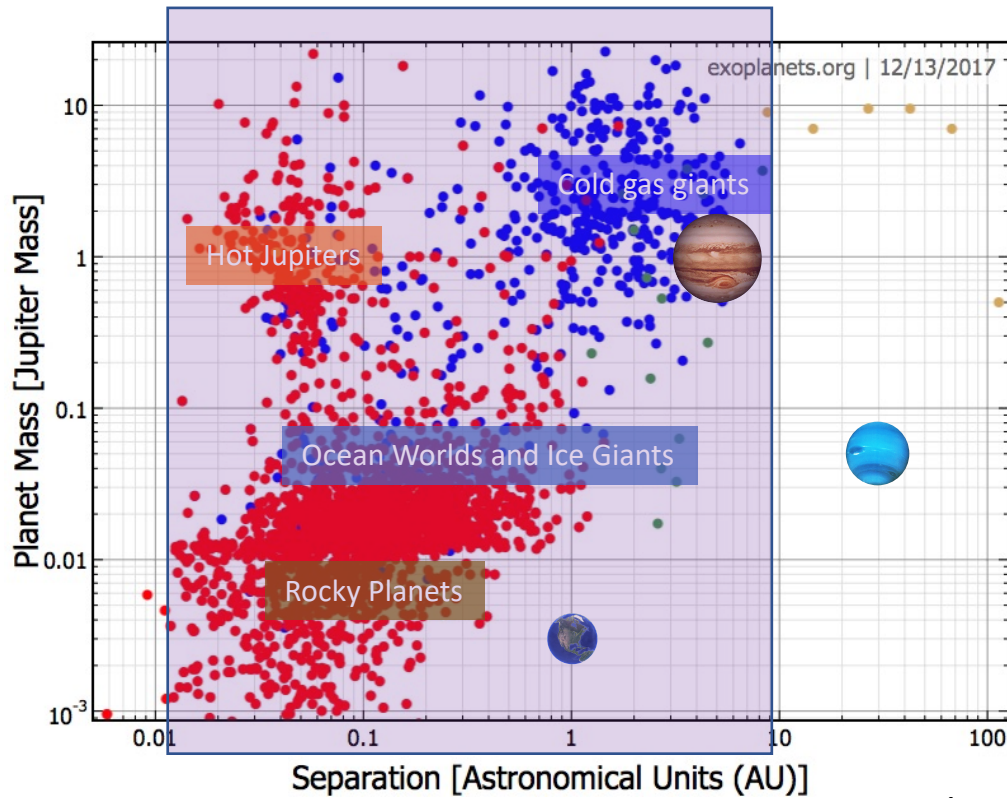
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# High res spectroscopy (line profiles)

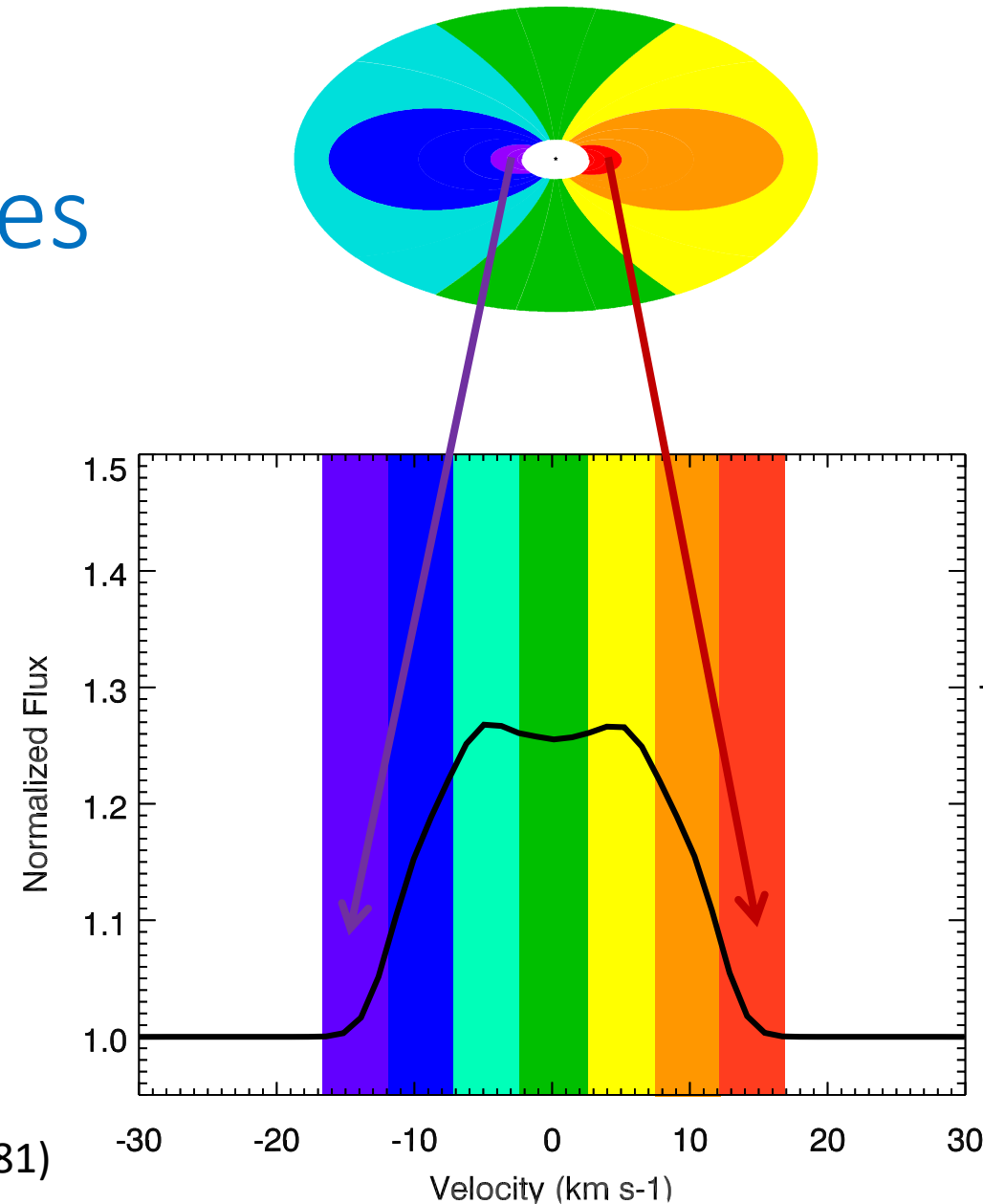
Studying inner disk structure

e.g., Carr 2007; Hoadley et al. 2015

# High Spectral Res: Surrogate for High Ang Res



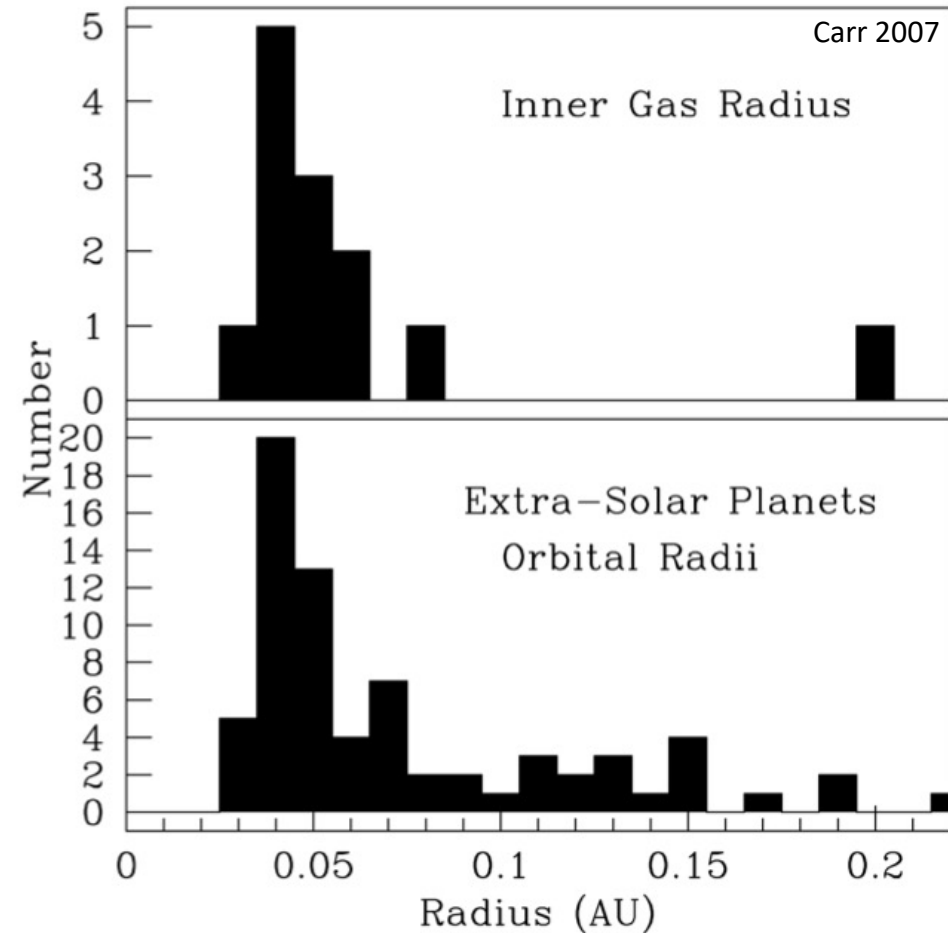
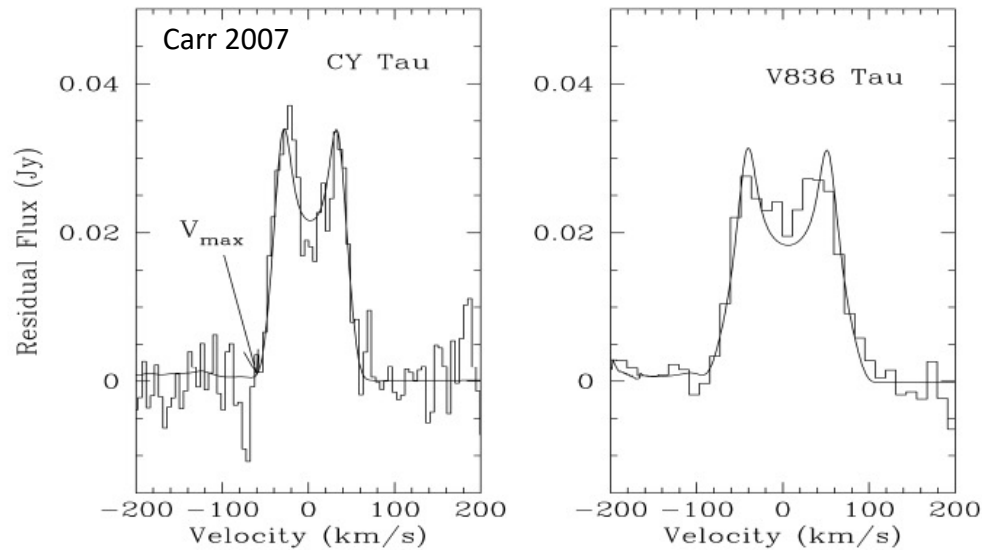
(See Smak 1981)



Graphics Credit: Sean Brittain

# Inner Disk Radii and Exoplanet Orbital Radii

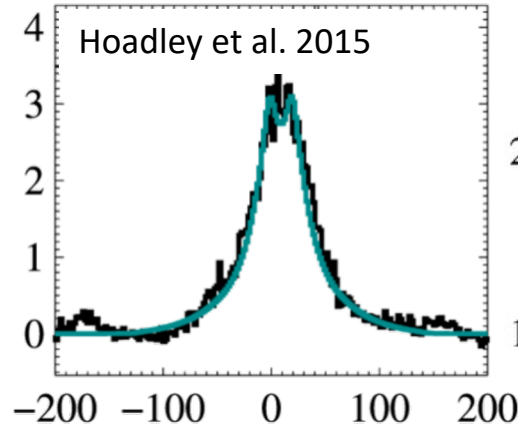
## CO fundamental line profiles of Classical T Tauri stars



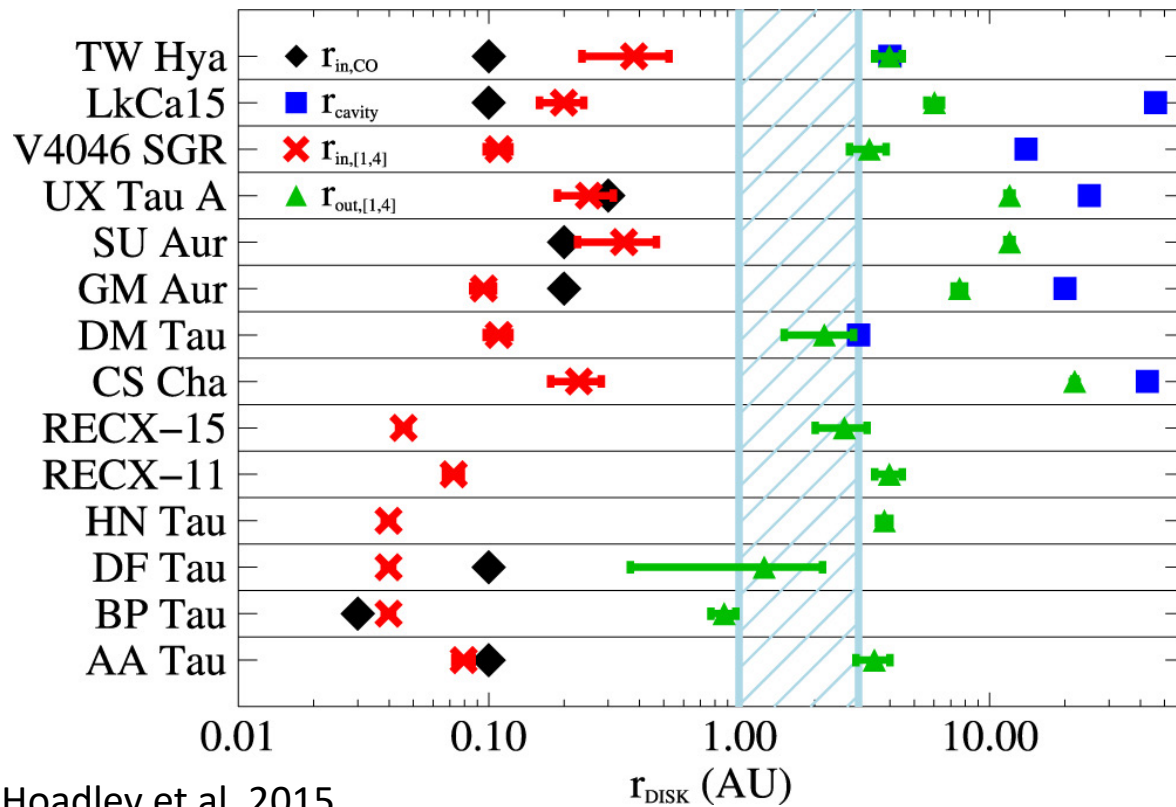
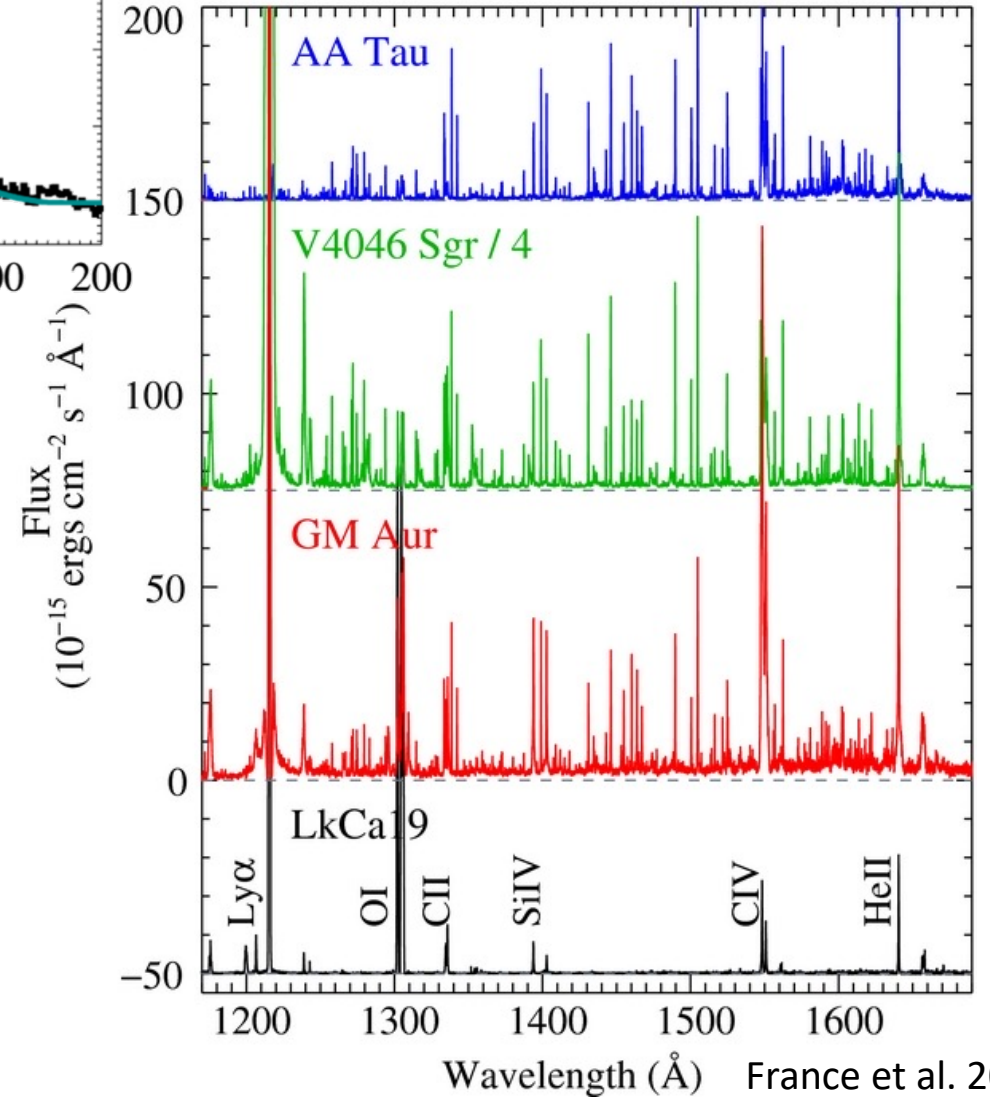


# FUV H<sub>2</sub> emission

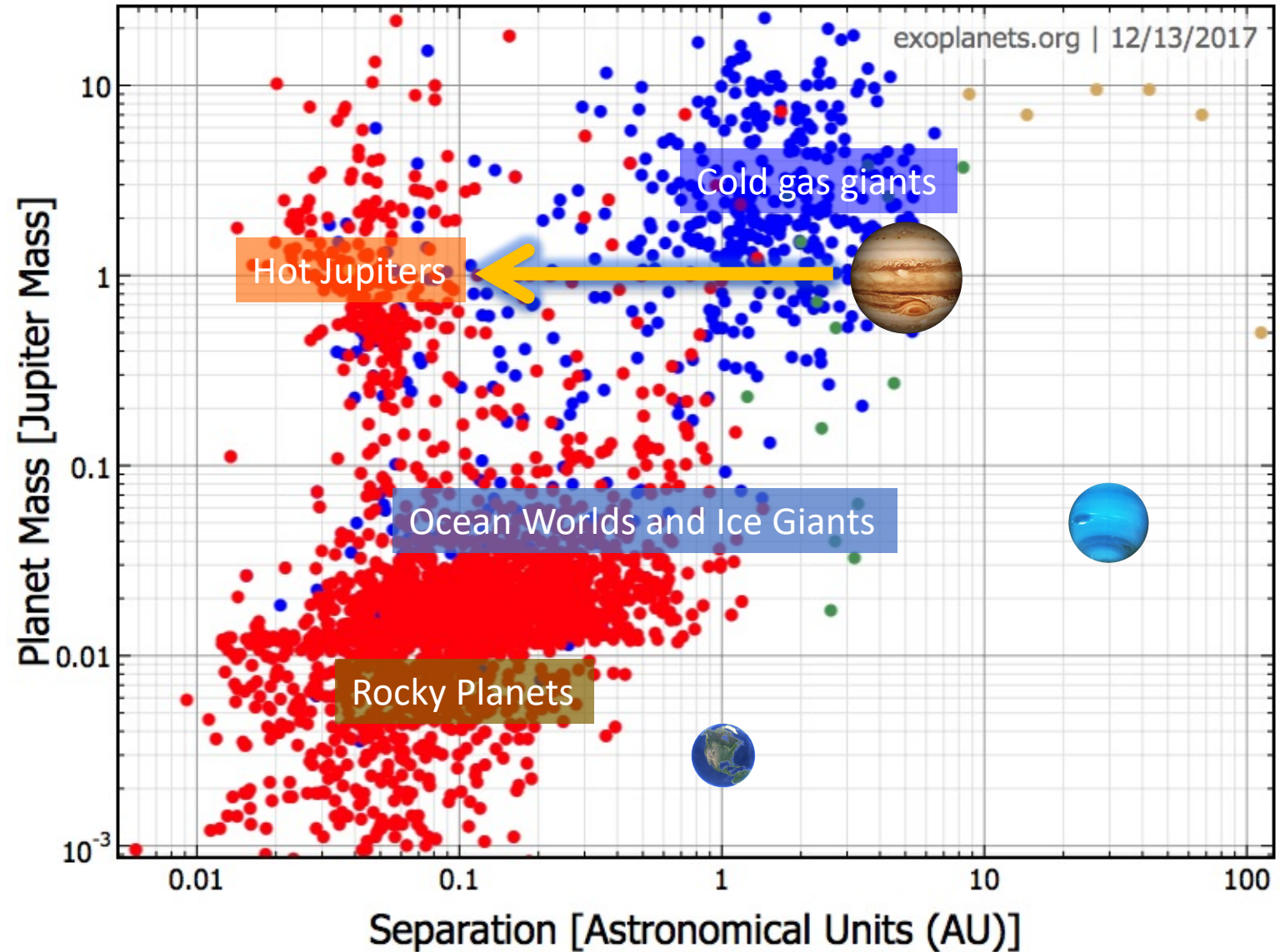
Inner and outer radii from H<sub>2</sub> line profiles



Rich H<sub>2</sub> fluorescence spectrum



# Exoplanet Populations



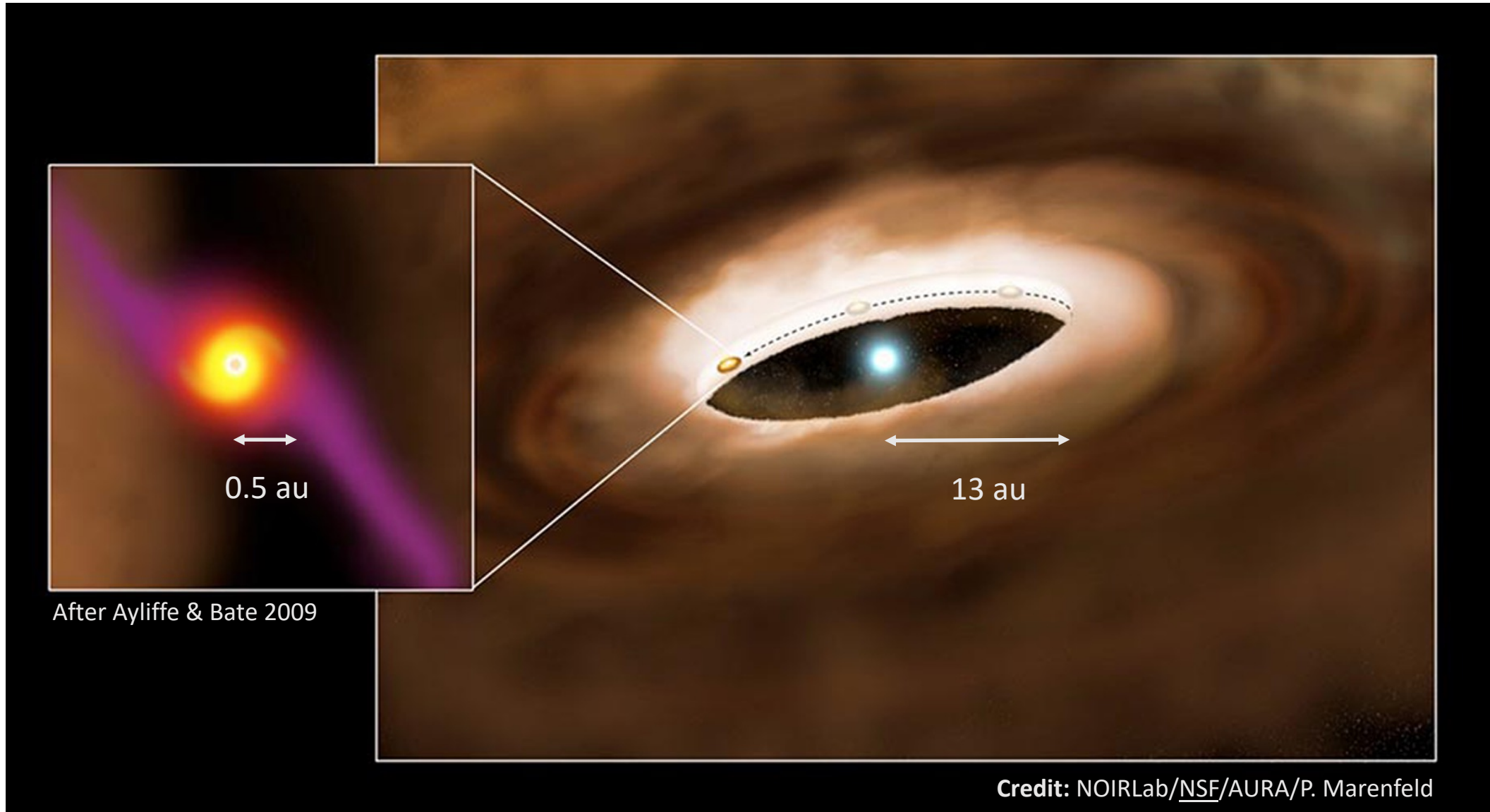
Gas giants can migrate into the inner disk edge

# Spectroastrometry

Detecting forming planets and circumplanetary disks (birthplaces of moons)

Pontoppidan et al. 2008; Brittain et al. 2010, 2015, 2019; Whelan et al. 2021

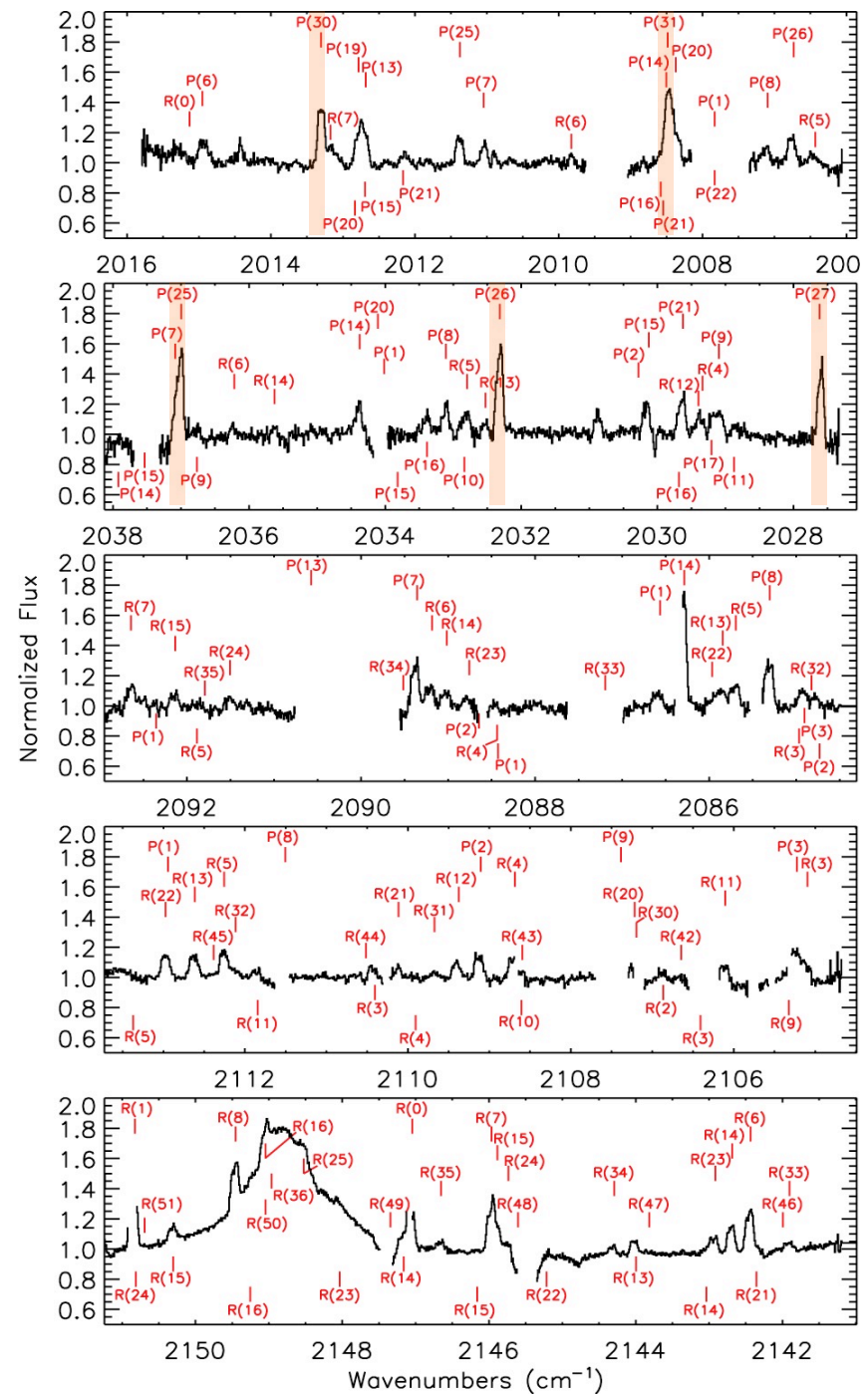
# Circumplanetary Disk in HD100546



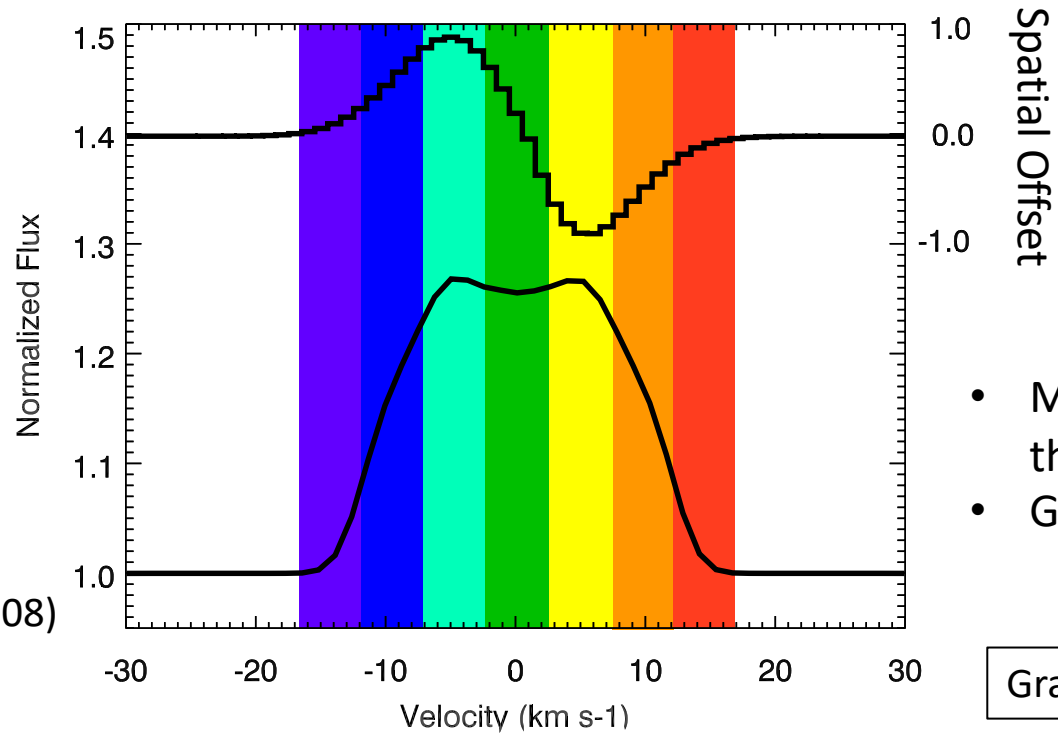
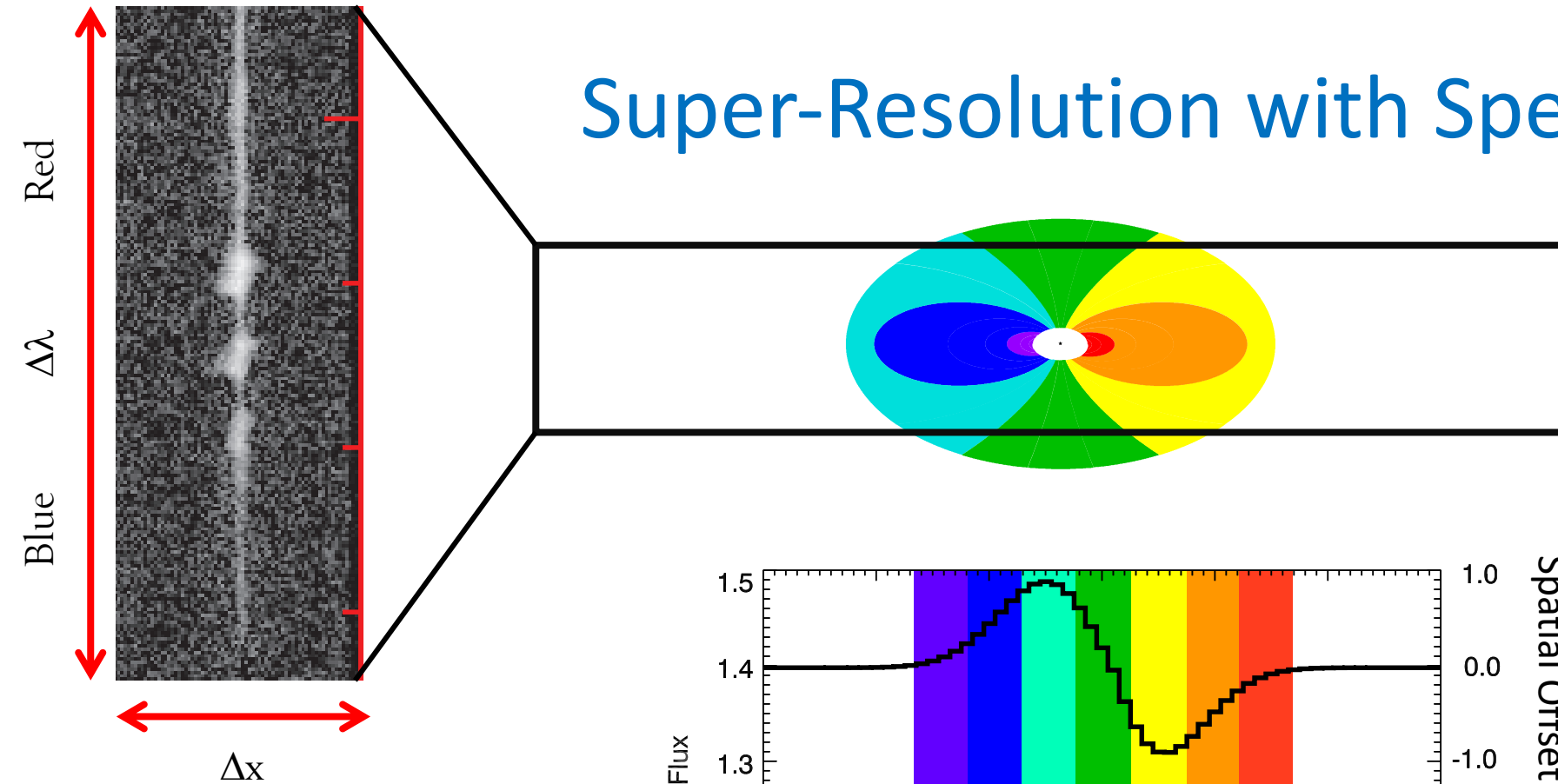
# Fun with high s/n spectroscopy

CO fundamental emission from HD100546,  
a young intermediate mass star

Brittain et al. (2009): shows transitions of  
CO  $v=1-0, \dots, 7-6$   
 $^{13}\text{CO } v=1-0, 2-1$   
 $\text{C}^{18}\text{O } v=1-0$



# Super-Resolution with Spectroastrometry

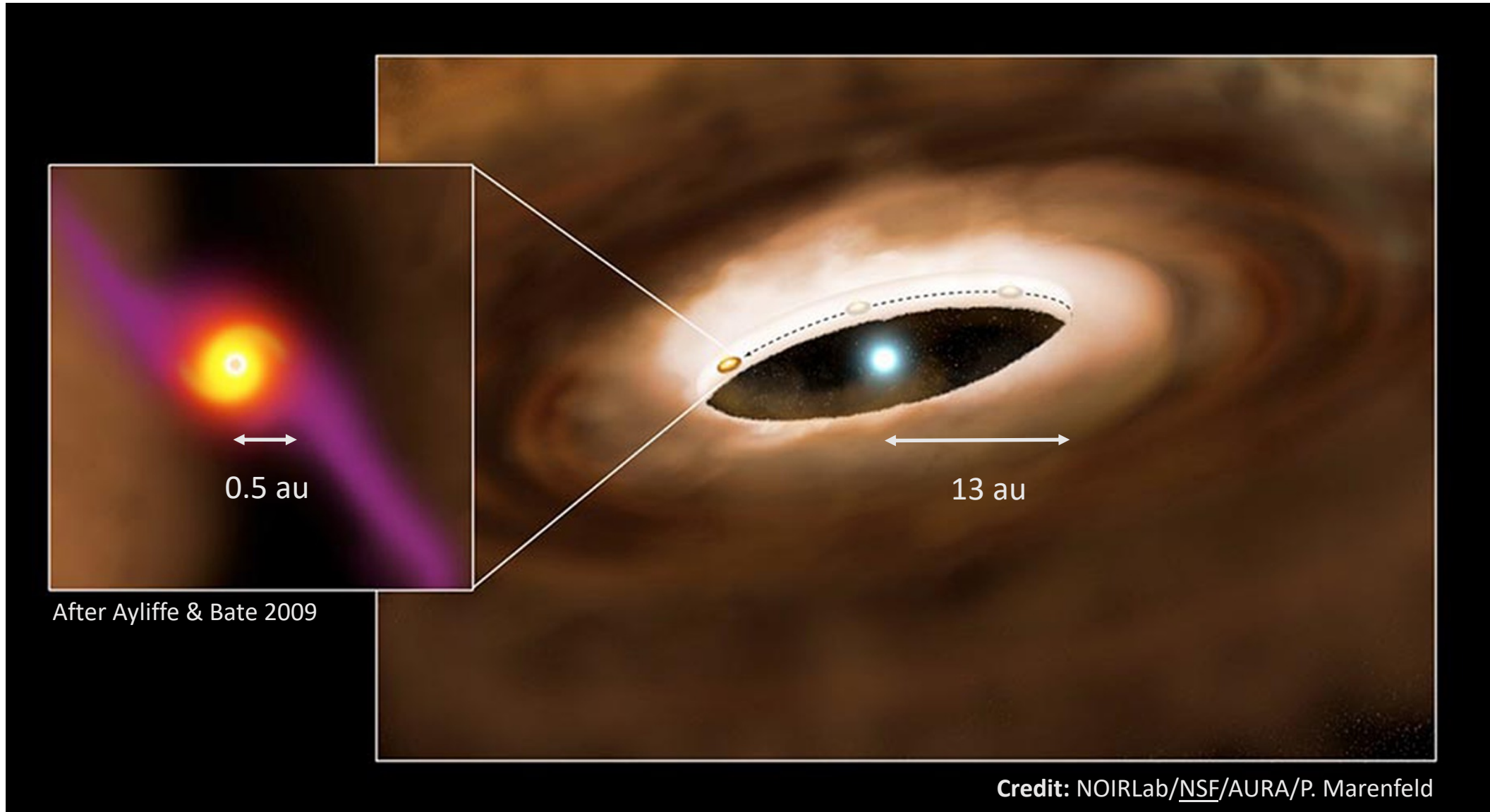


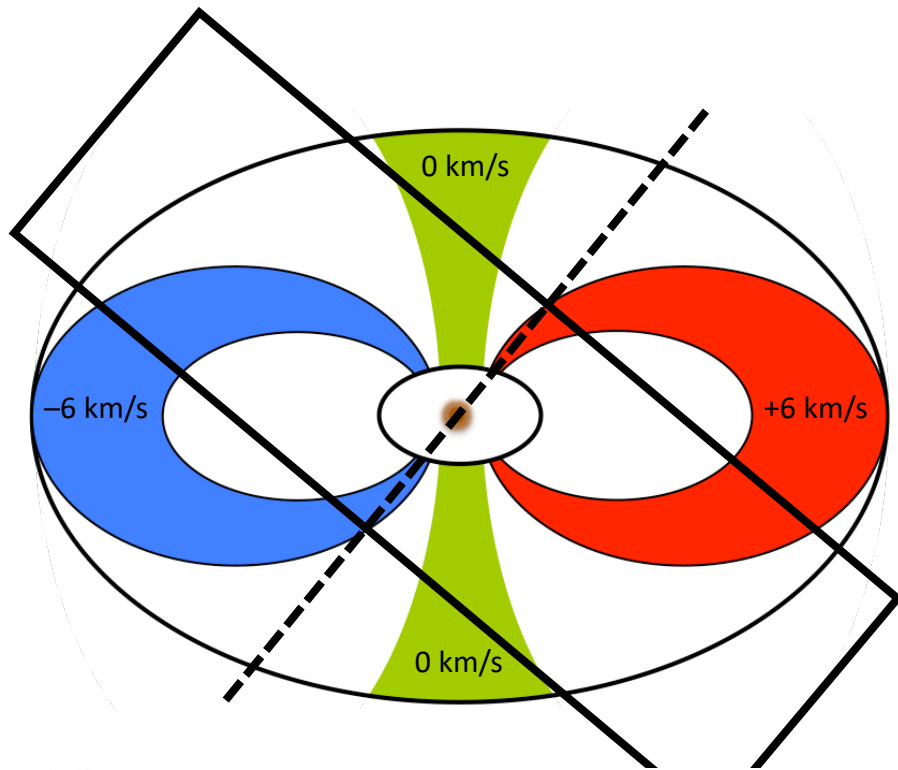
(See Whelan & Garcia 2008)

- Magic: spatial centroid more accurate than ang res  $\Delta x \sim 0.4 \text{ FWHM} / \text{SNR}$
- Good for simple velocity fields

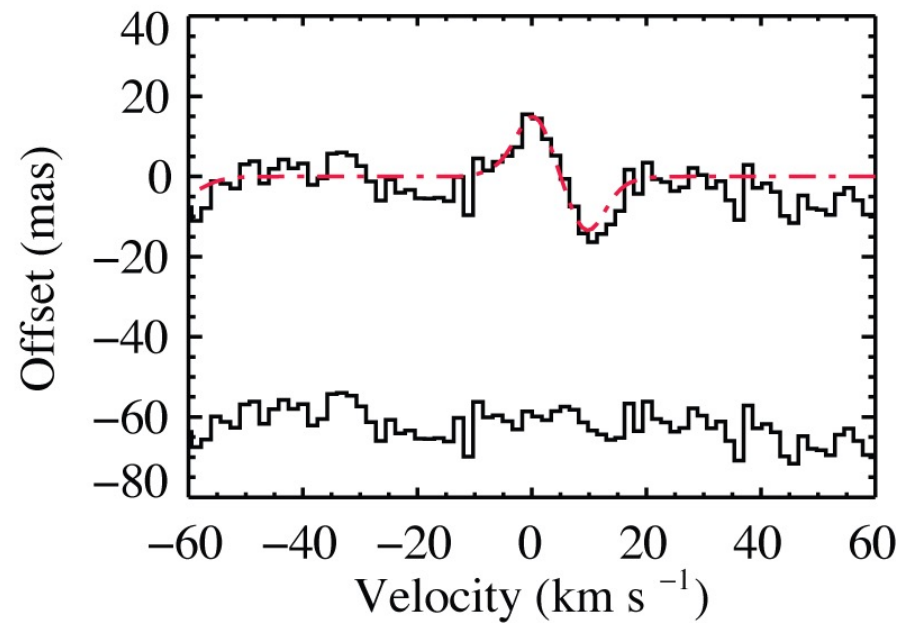
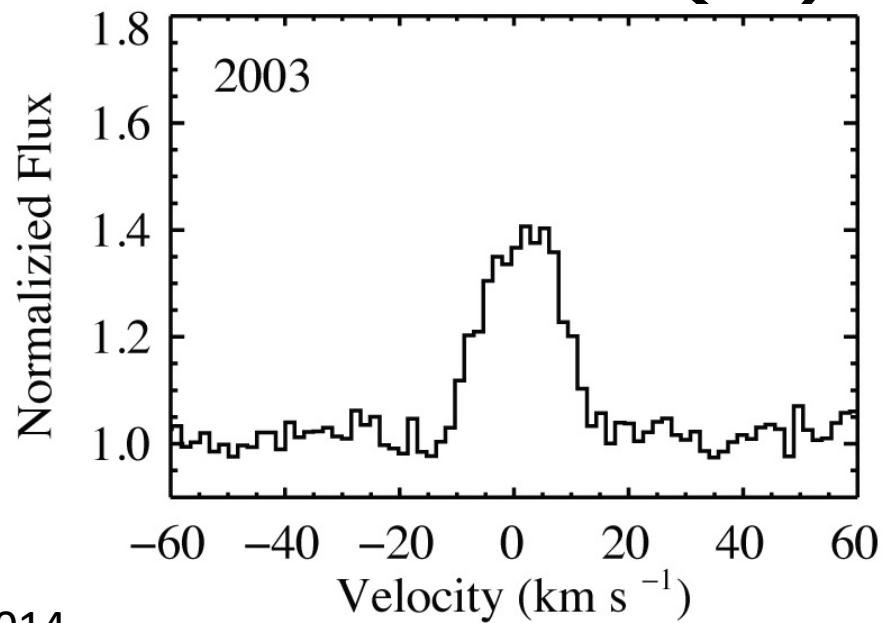
Graphics Credit: Sean Brittain

# Circumplanetary Disk in HD100546

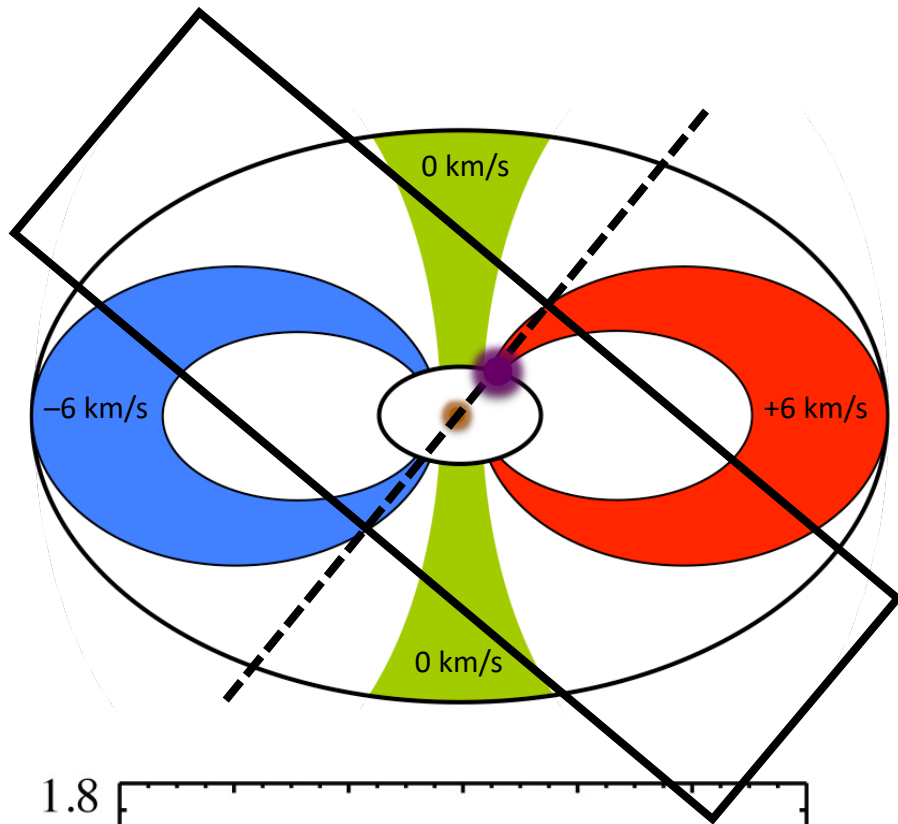




## Spectroastrometry

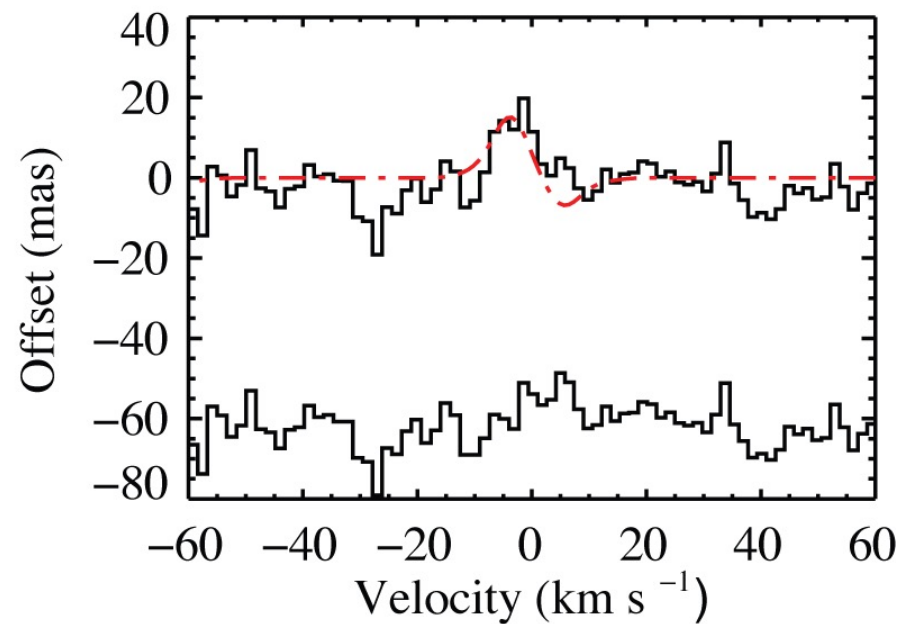
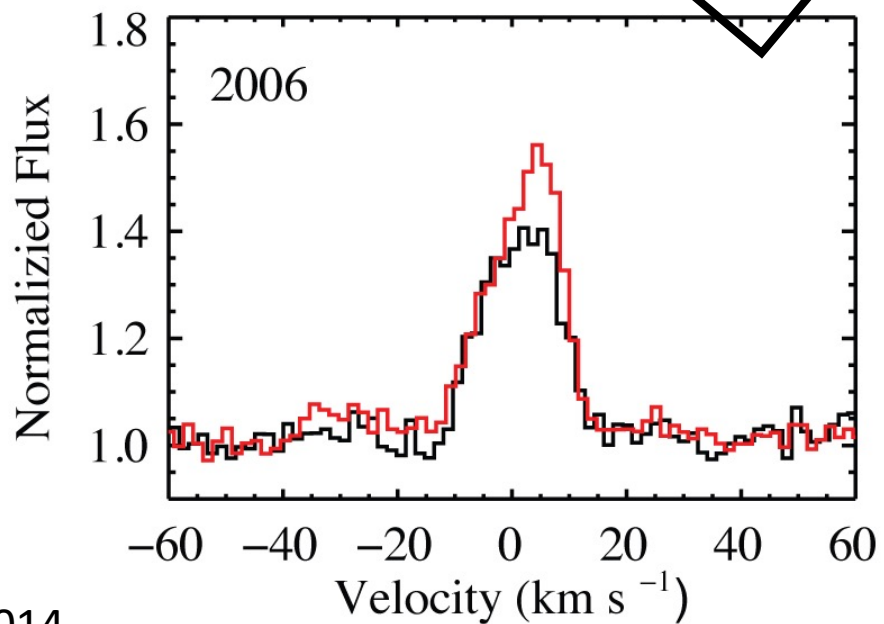


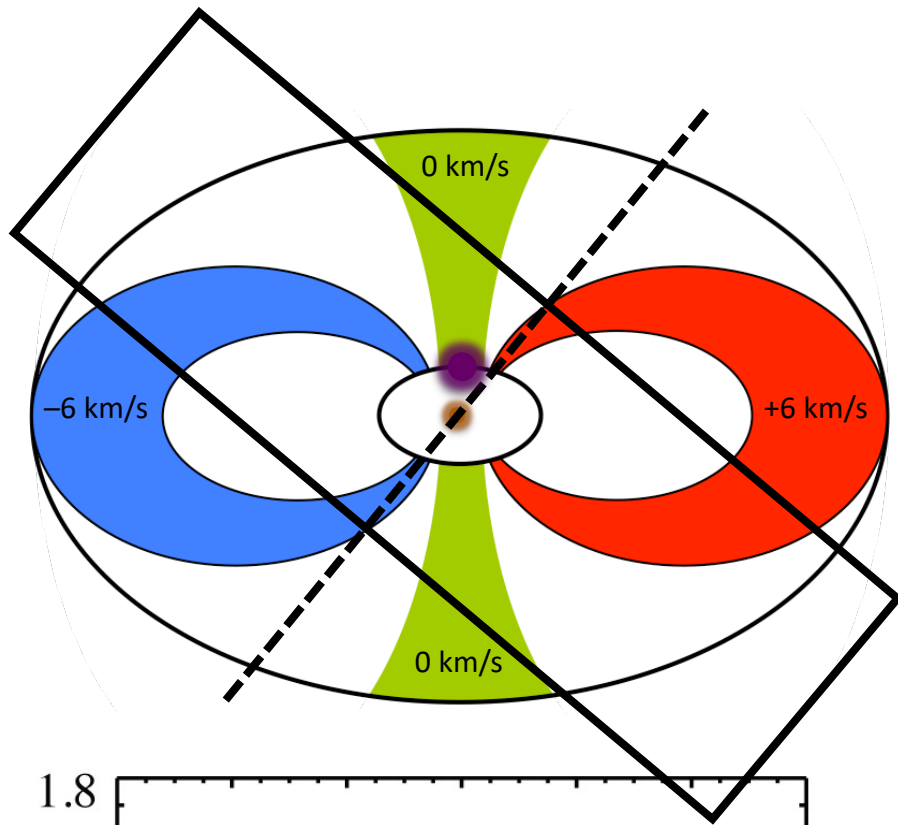




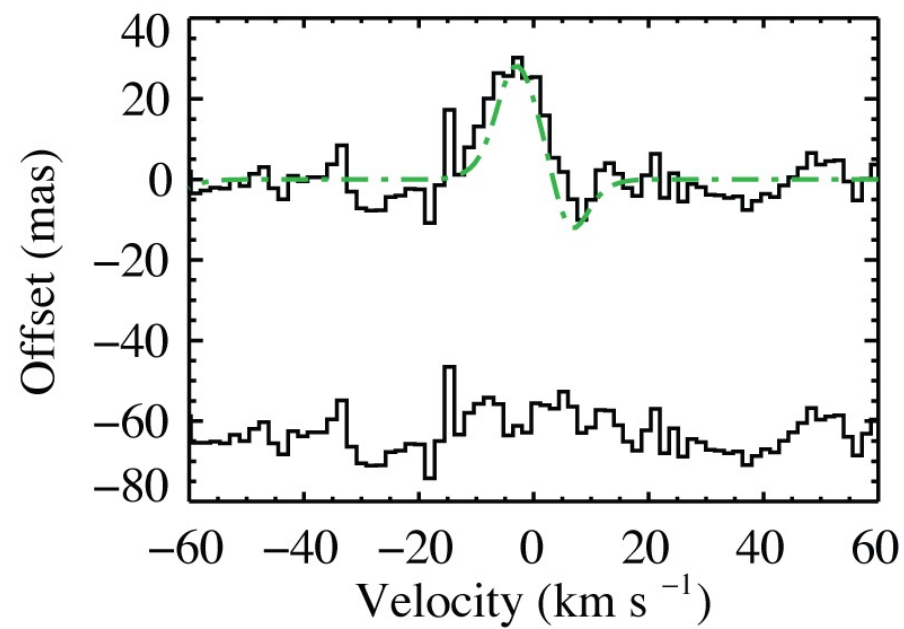
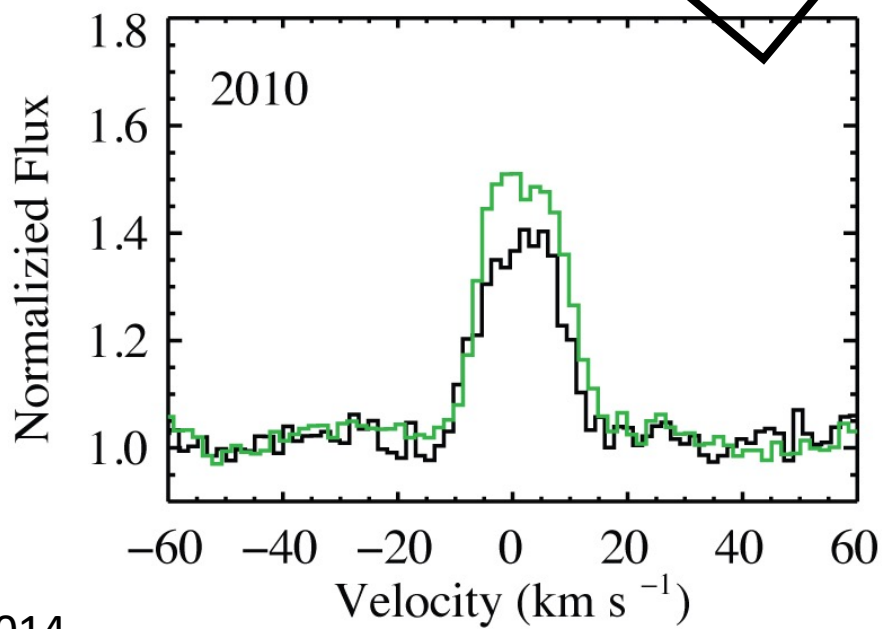
## Spectroastrometry

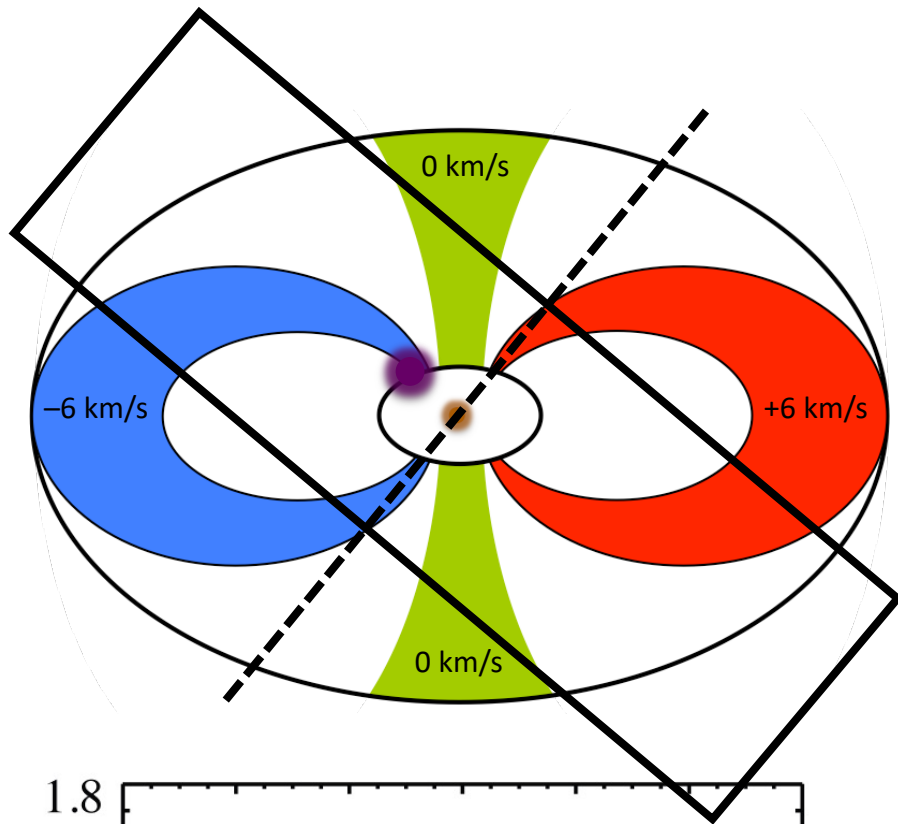
Excess emission consistent with size of CPD



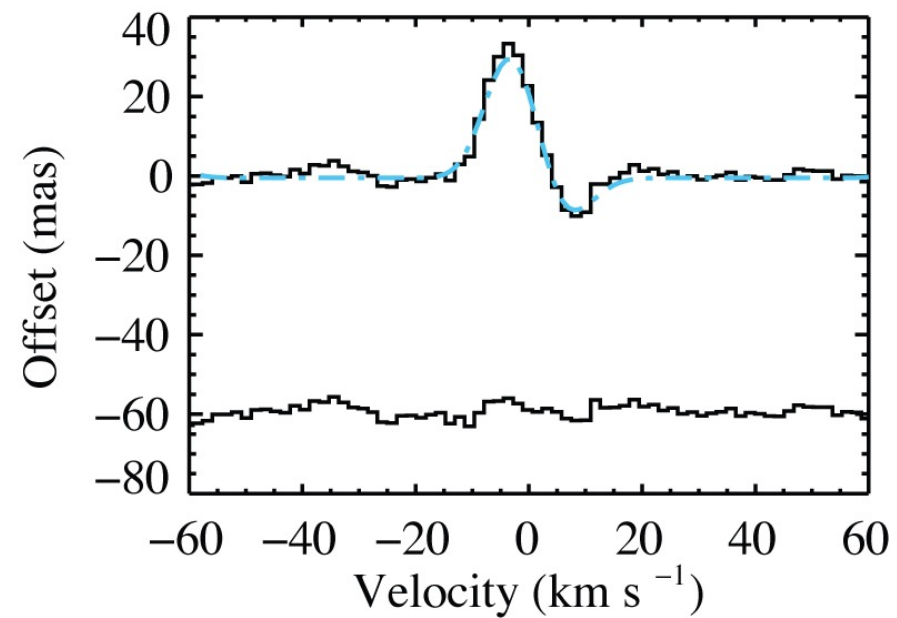
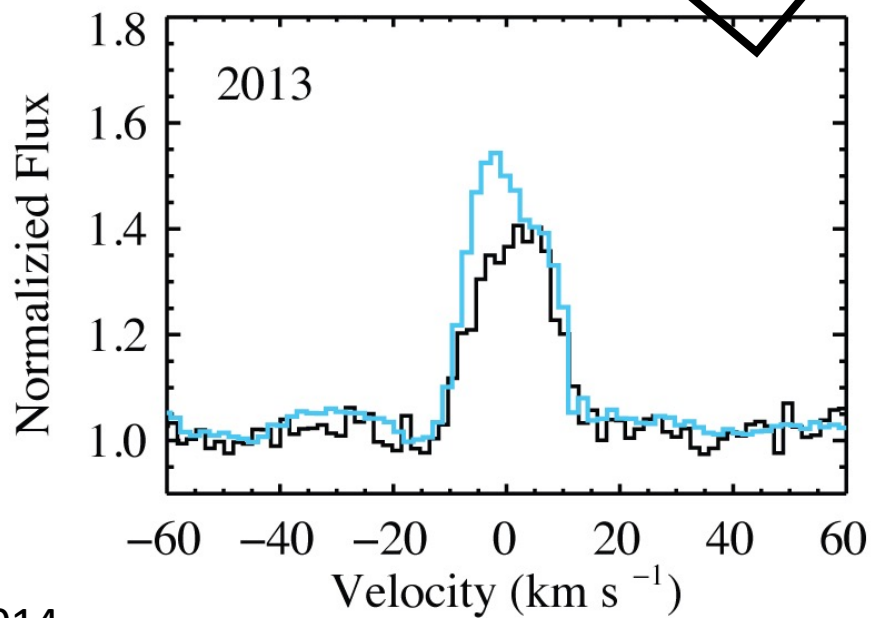


## Spectroastrometry

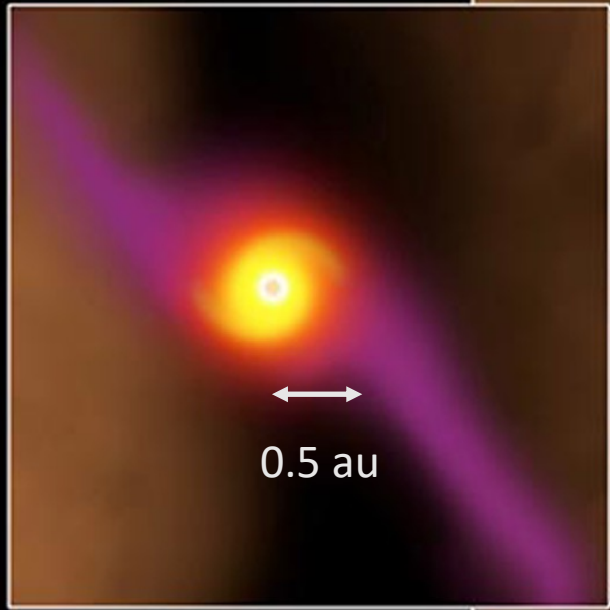




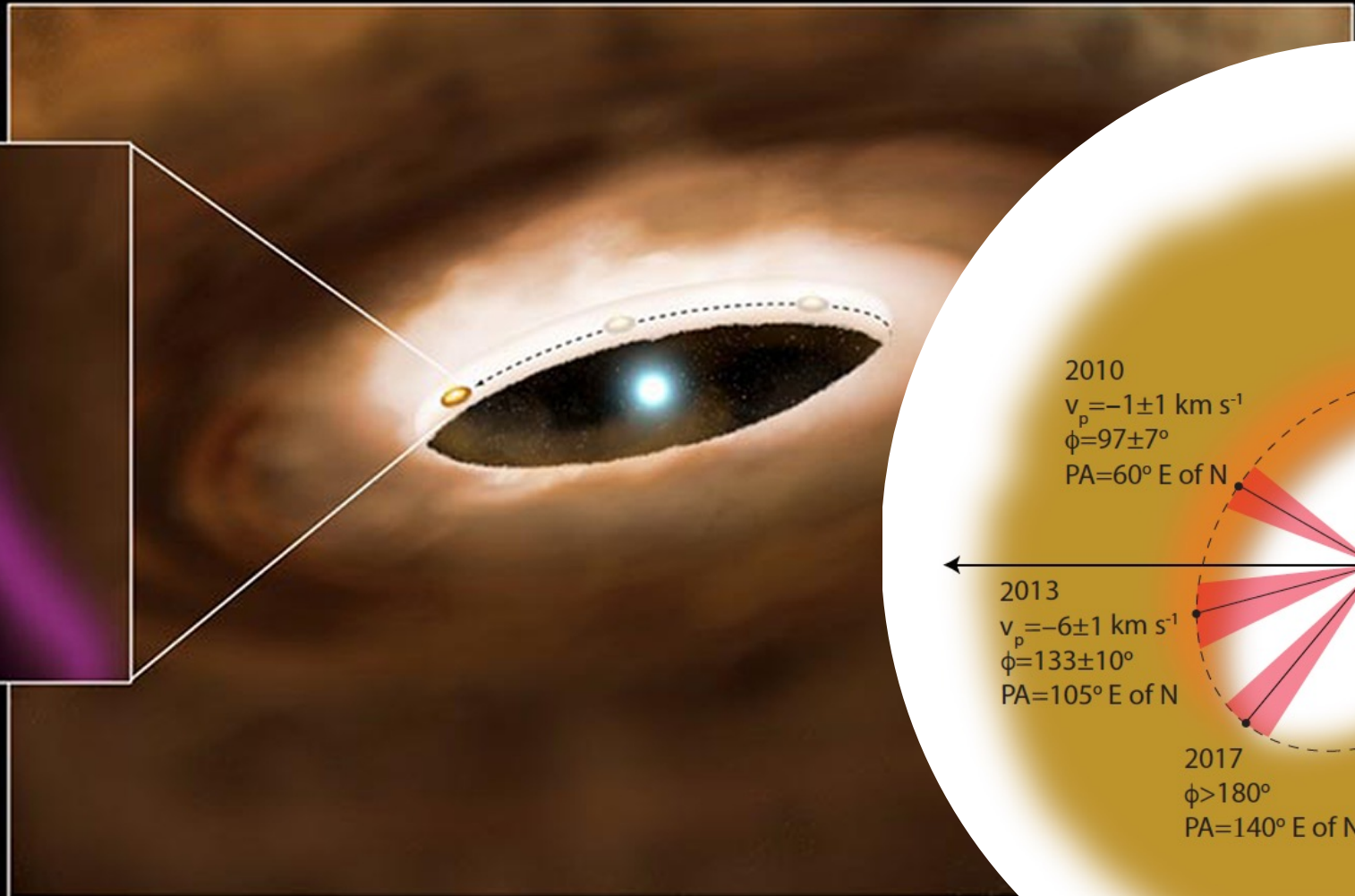
## Spectroastrometry



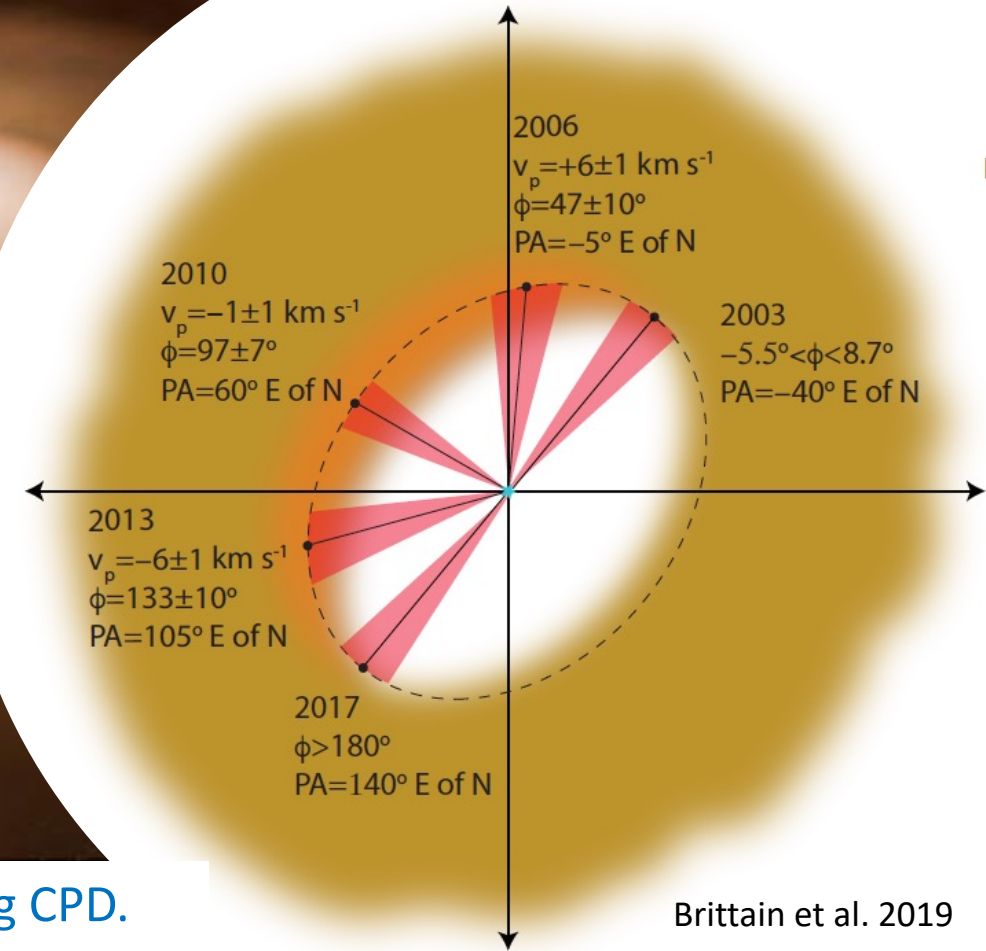
# Circumplanetary Disk in HD100546



After Ayliffe & Bate 2009



Position, velocity, and emitting area of orbiting CPD.



Brittain et al. 2019

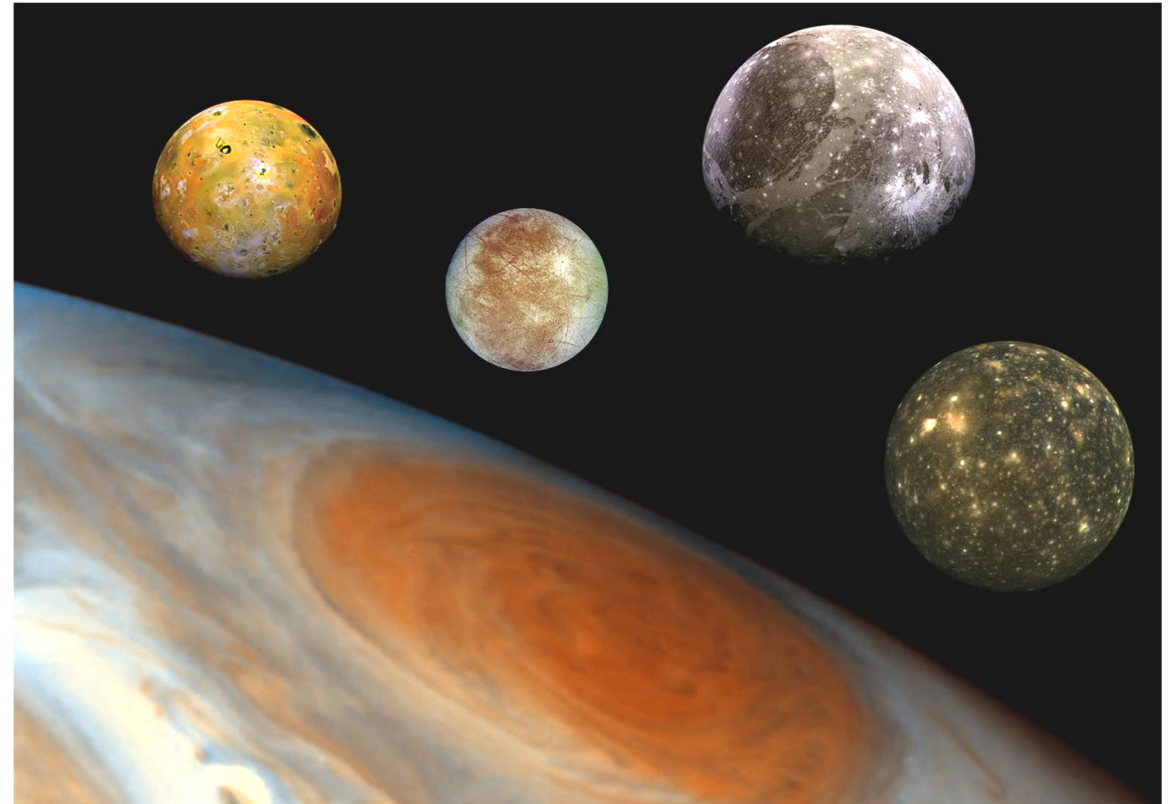
# IR Spectroscopy: Structure and Sub-structure

High spectral resolution as a surrogate for high spatial resolution

- E.g., measure inner disk radii.

Spectroastrometry enables super-resolution

- E.g., detect orbiting gaseous circumplanetary disk.



Credit: Galileo Project/Voyager Project/NASA's JPL

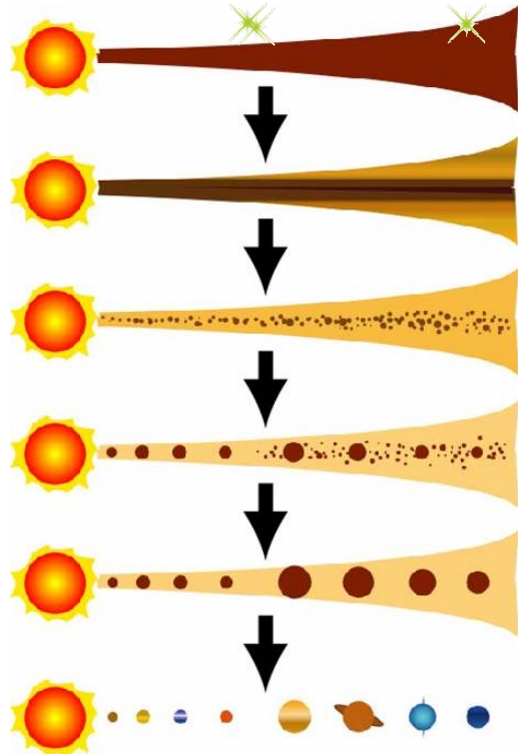
# MIR molecular spectroscopy

Chemical Fingerprint of Planetesimal / Protoplanet Formation

e.g., Carr et al. 2011; Najita et al. 2011, 2013; Banzatti et al. 2020

# Story of Core Accretion

Once upon a time...



Grains ( $\mu\text{m}$ ) grew...

Planetesimals ( $\text{km}$ ) that grew...

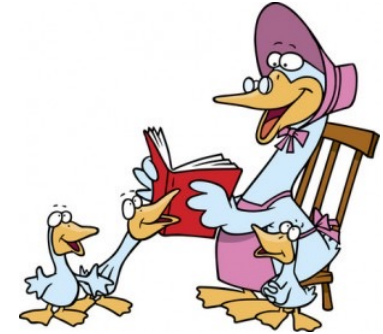
Protoplanets ( $\sim 1000\text{s km}$ ) that grew...

Giant planets ( $10^5 \text{ km}$ )

5-10  $M_{\text{Earth}}$  core

accretion of gaseous envelope

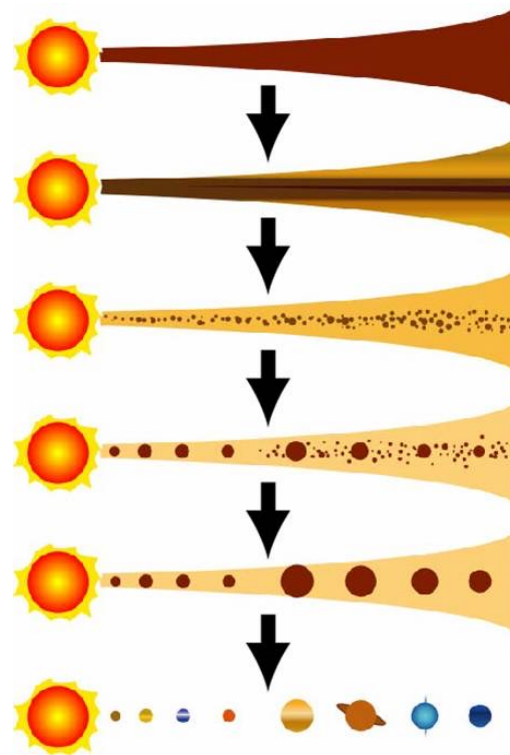
Remnant disk cleared... *The End*



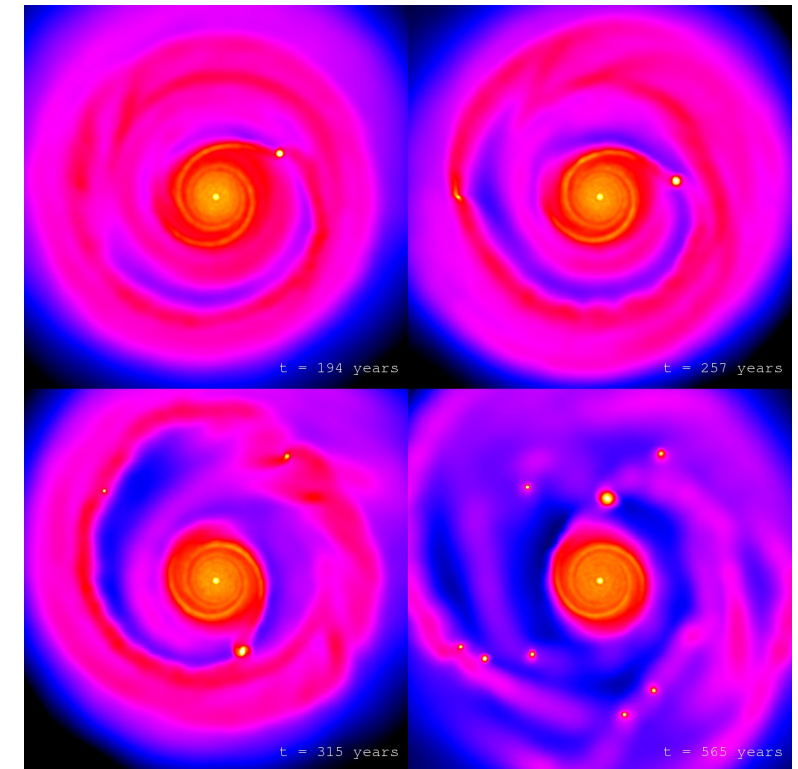
# What is a signpost of core accretion?

- Core accretion makes planetesimals and protoplanets
- Are these abundant at epoch of planet formation?

Core Accretion



Gravitational Instability



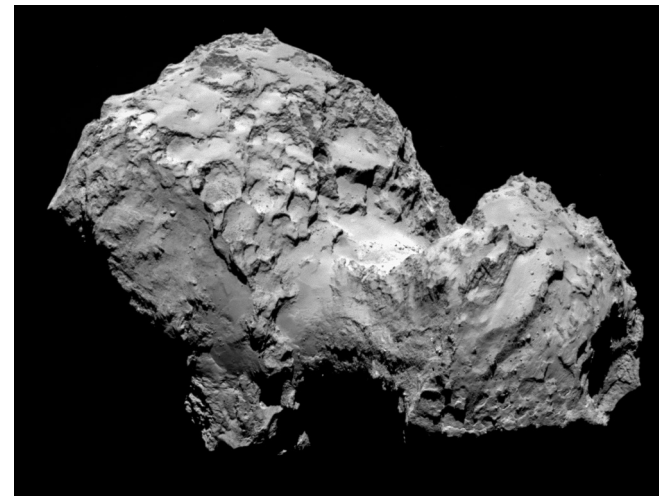
Mayer et al. 2004



# What do planetesimals look like?



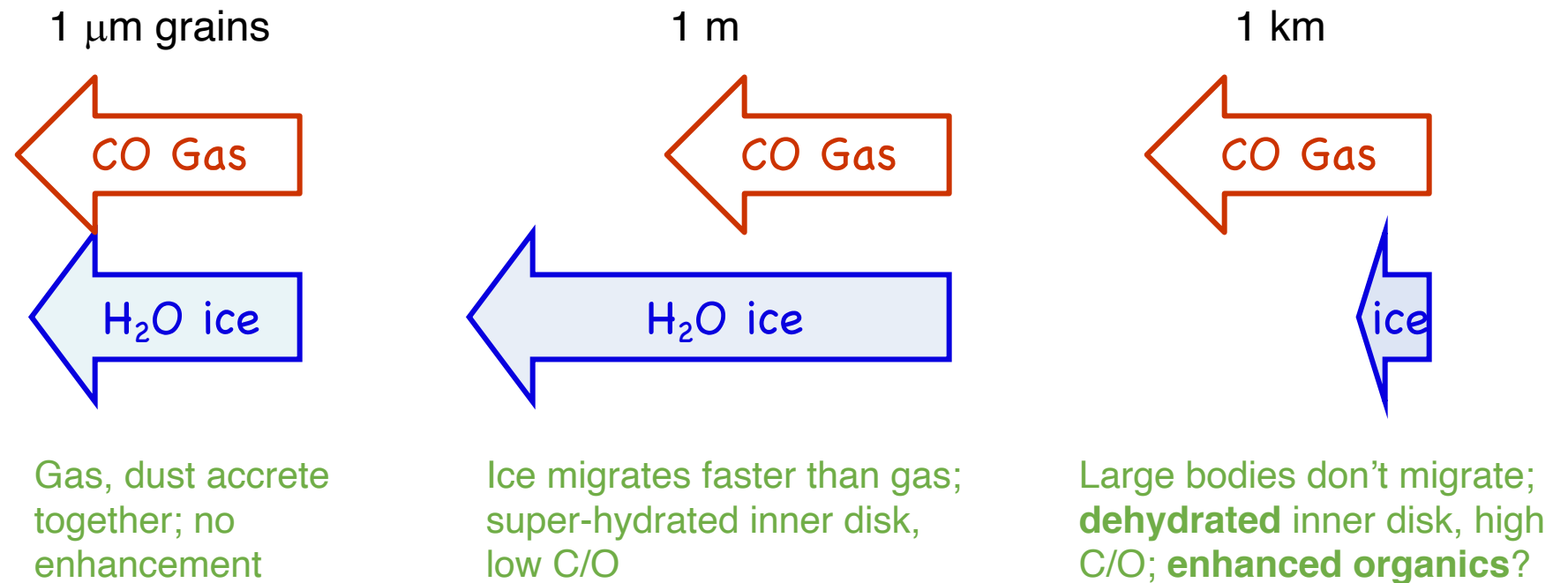
Hale Bopp: Wikimedia Commons



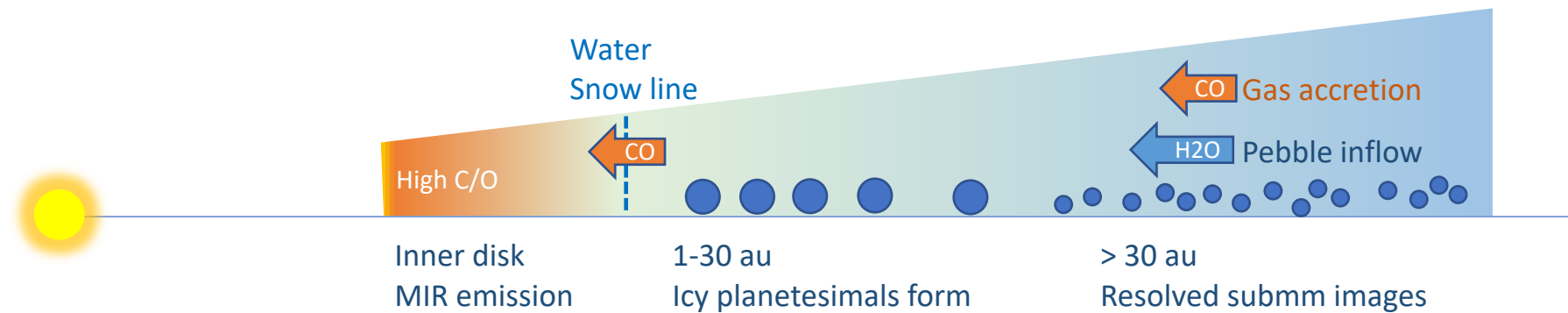
67P: ESA/Rosetta/NAVCAM

# Solid aerodynamics and C/O of inner disk

Planetesimal ( $\sim 1$  km) and protoplanet ( $\sim M_{\text{Mars}}$ ) formation dehydrates and enhances C/O of inner disk.



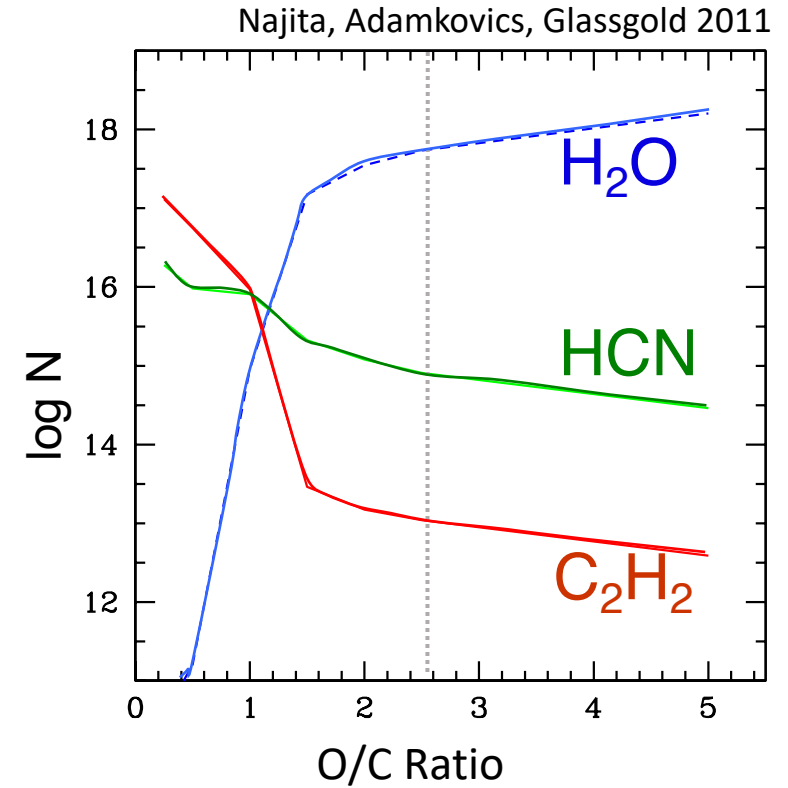
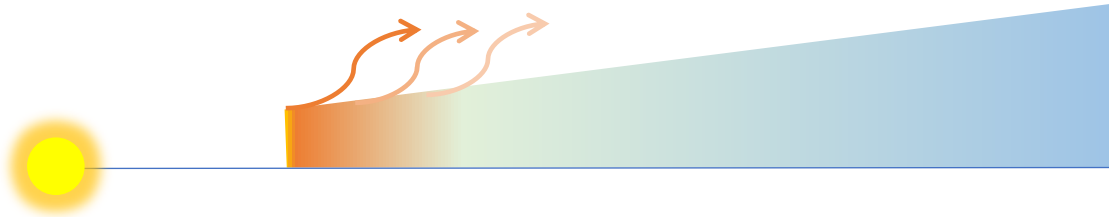
# Planetesimals and C/O of Inner Disk



- Icy planetesimals sequester water and O beyond the snow line
- Efficient formation dehydrates inner disk, raises C/O ratio

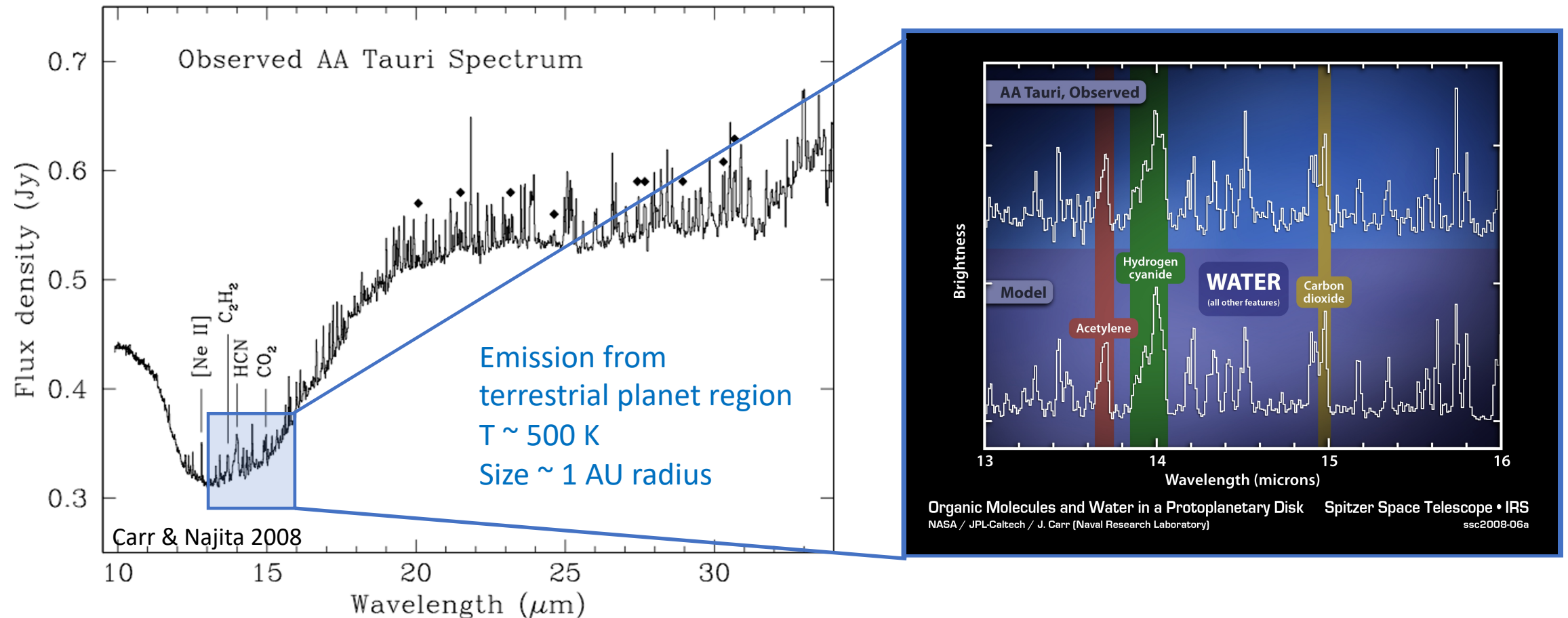
# Chemical signature of rising C/O

Warm molecular columns from thermal-chemical models of disk atmospheres at 1AU



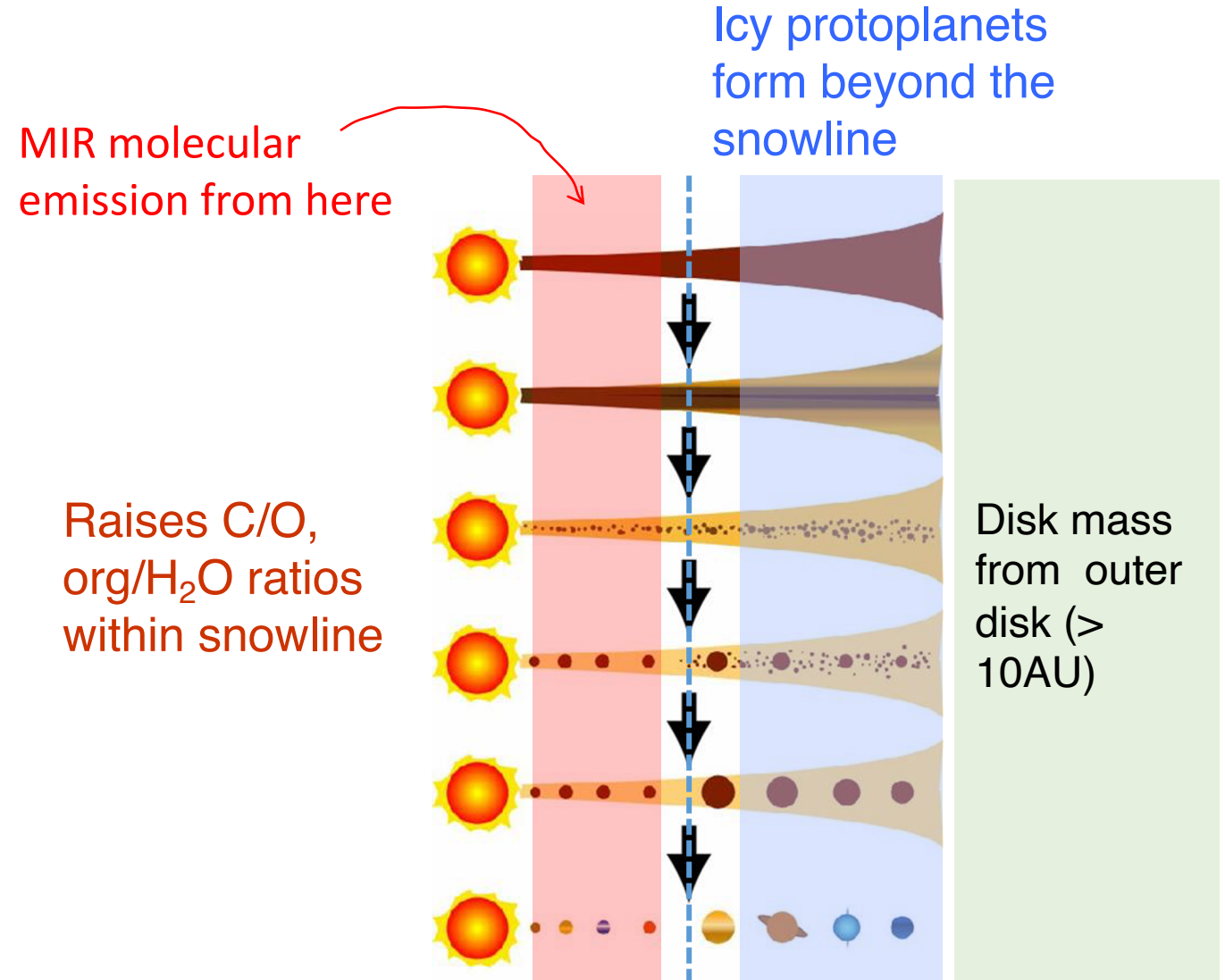
Doubling C/O produces 10 fold increase in HCN/ $\text{H}_2\text{O}$  warm column ratio

# Inner Disk Molecules in Emission



See also Carr & Najita 2011; Salyk et al. 2008, 2011; Pontoppidan et al. 2010

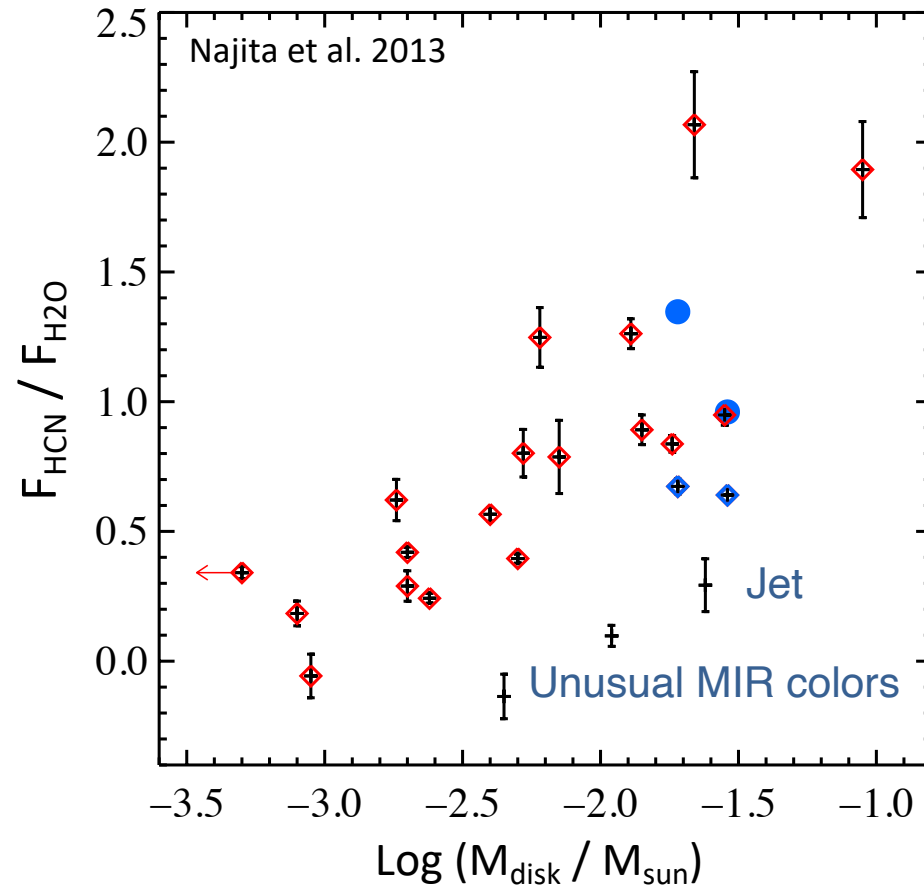
# Planetesimal Formation and C/O



More massive disks form protoplanets quicker, have higher C/O ratio?

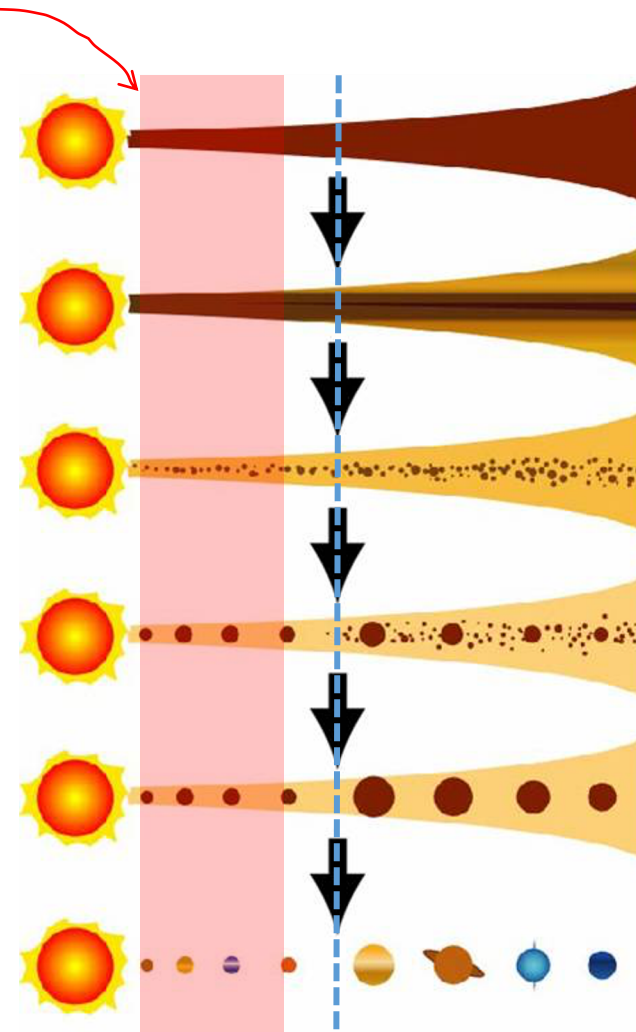
# HCN/H<sub>2</sub>O Ratio vs. Disk Mass

Single T Tauri Stars in Taurus;  
disk masses from Andrews &  
Williams



# Chemical signature of planetesimal formation

MIR molecular emission from here



Not here...

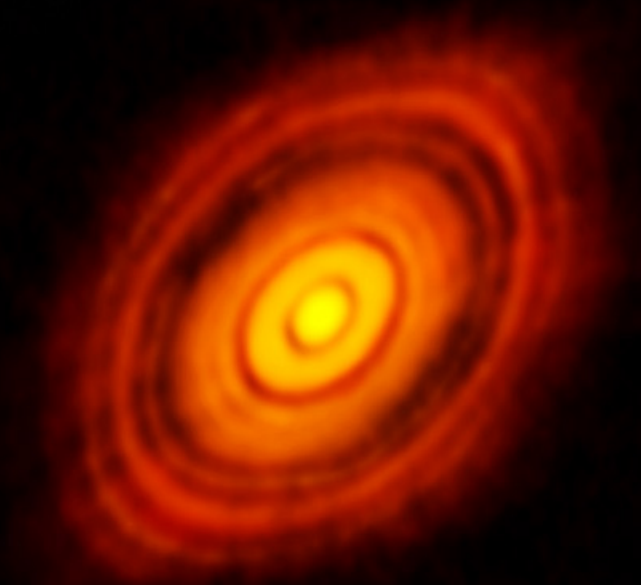
...but here!

**Molecular message from 2013:**

Disks are not primordial –  
they have formed planetesimals or protoplanets  
– a chemical signature of core accretion in action!

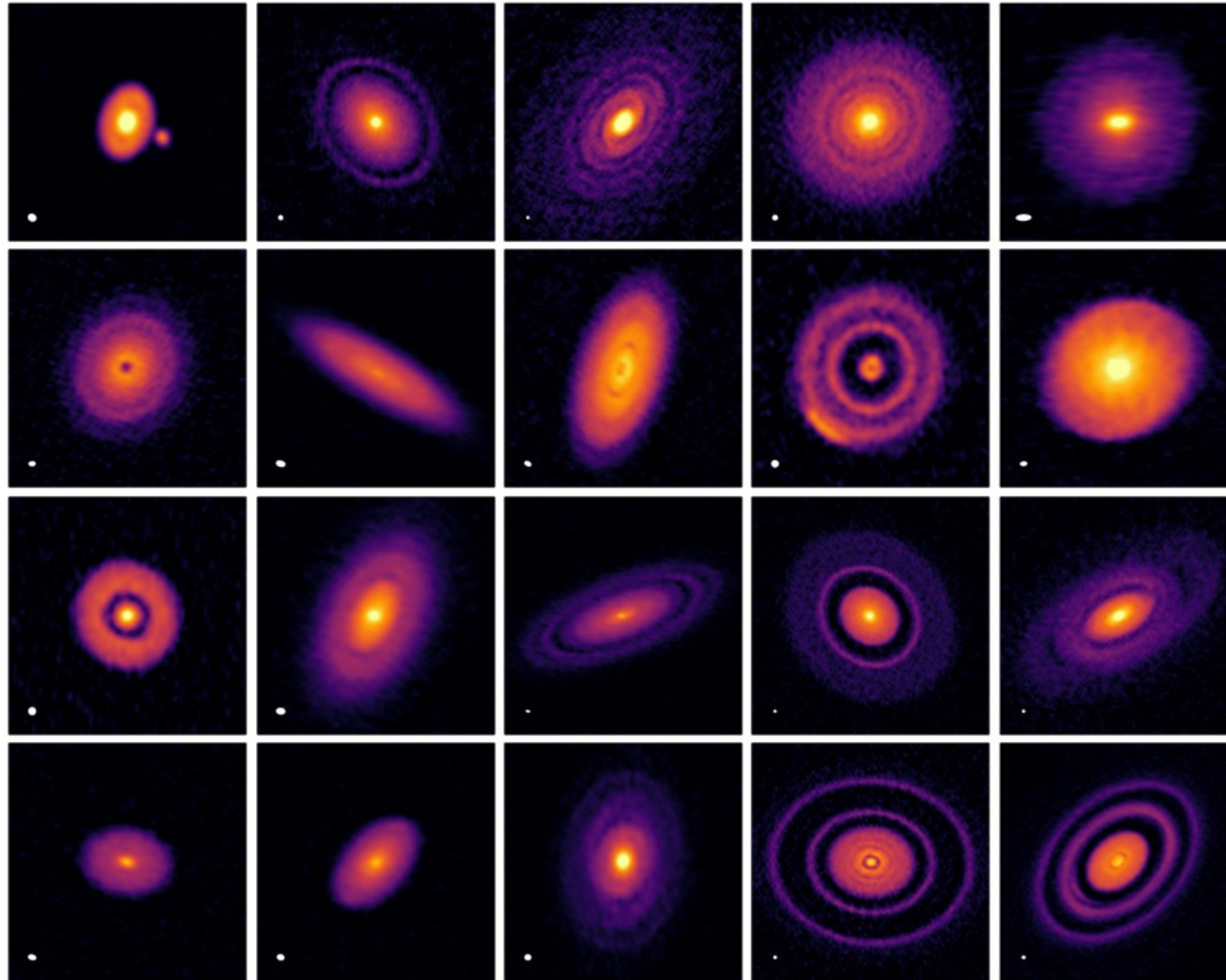


# ALMA Observes HL Tau

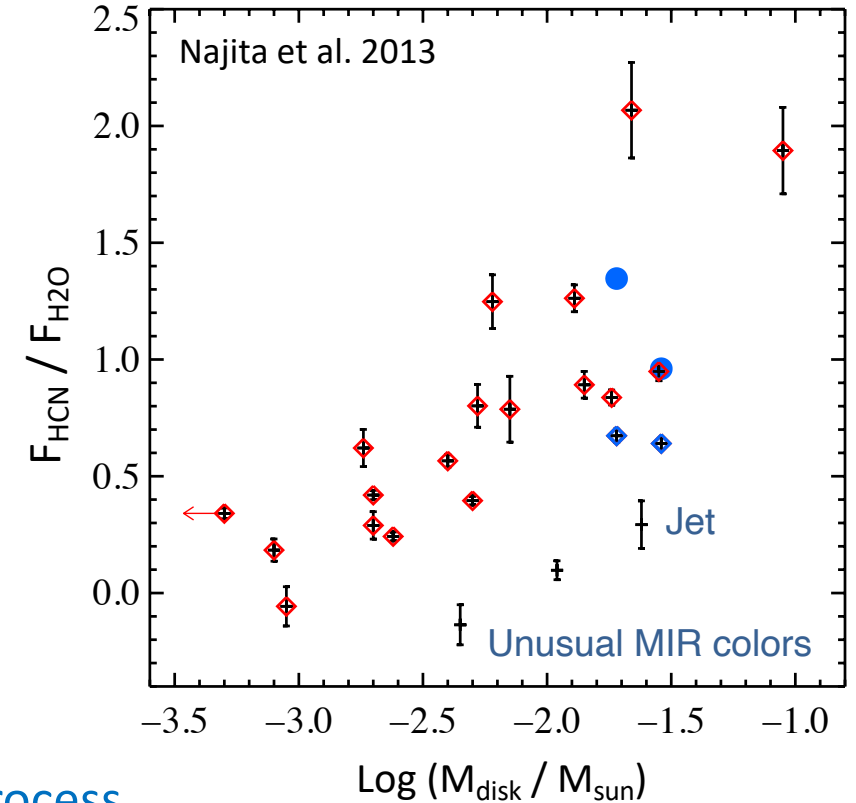
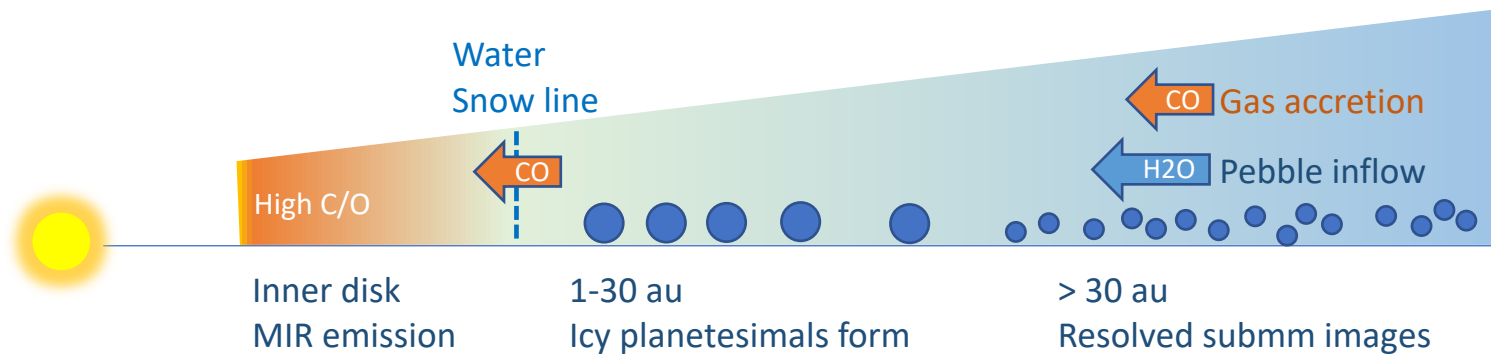


Credit: ALMA (ESO/NAOJ/NRAO)

# Rings and Spirals in DSHARP



# Chemical signature of planetesimal formation?



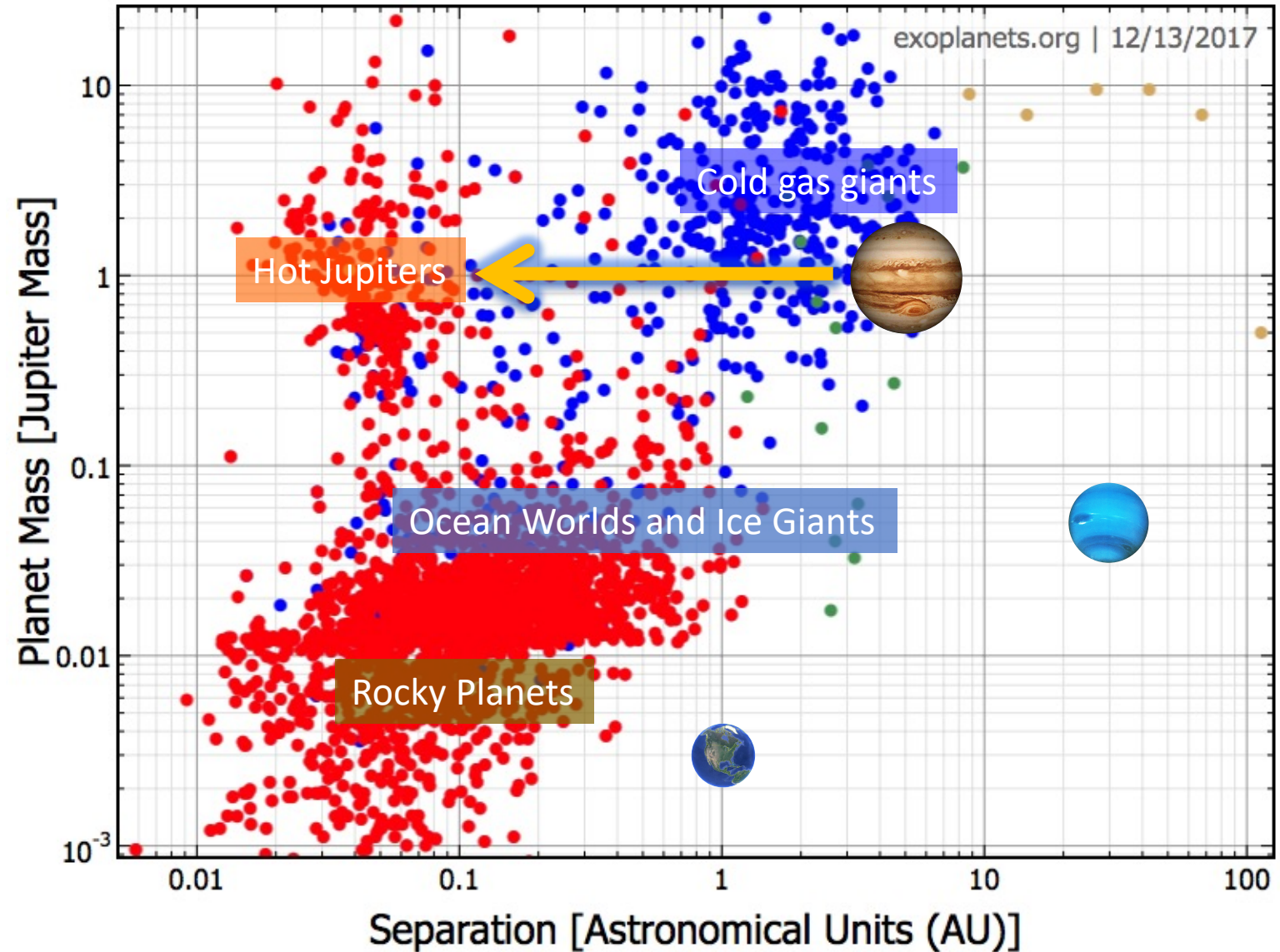
- Possibly we're onto something!
- Chemistry can uniquely illuminate an elusive planet formation process

# High resolution MIR spectroscopy

Observing disk accretion in action?

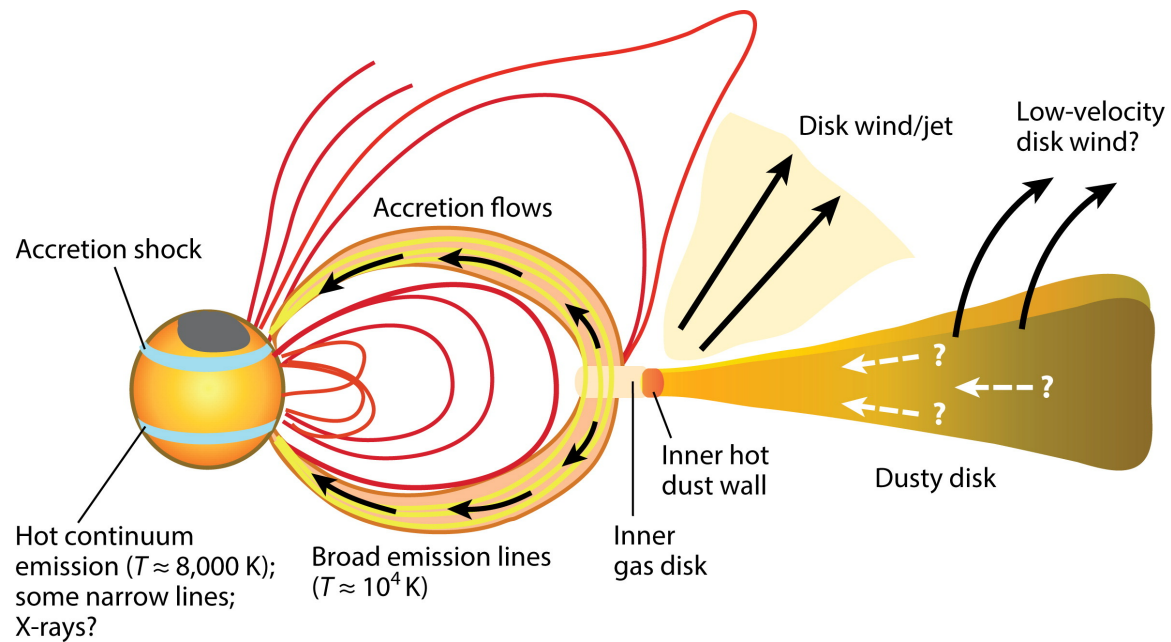
e.g., Najita et al. 2021

# Exoplanet Populations



Migration is important

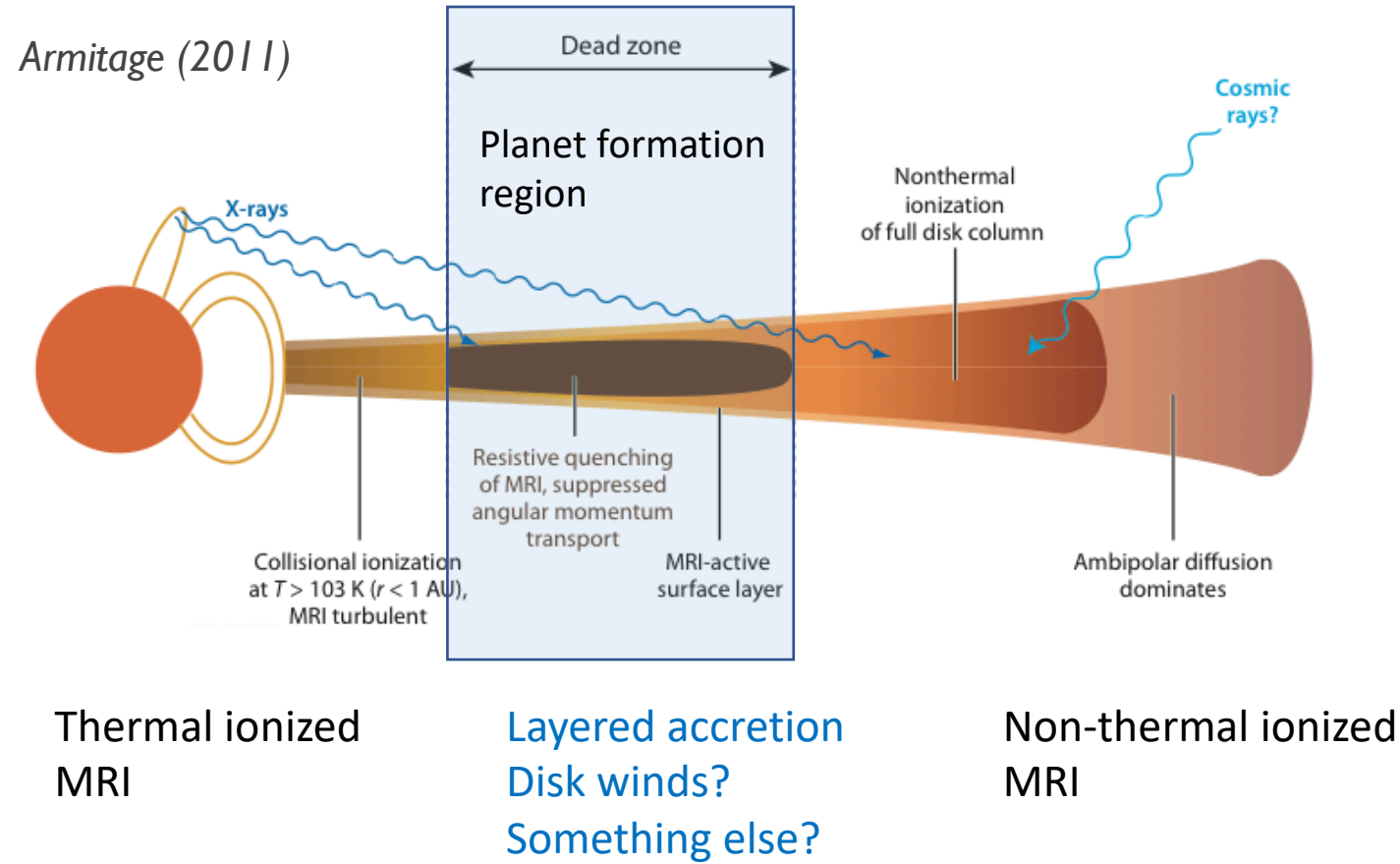
# How does matter reach the magnetosphere?



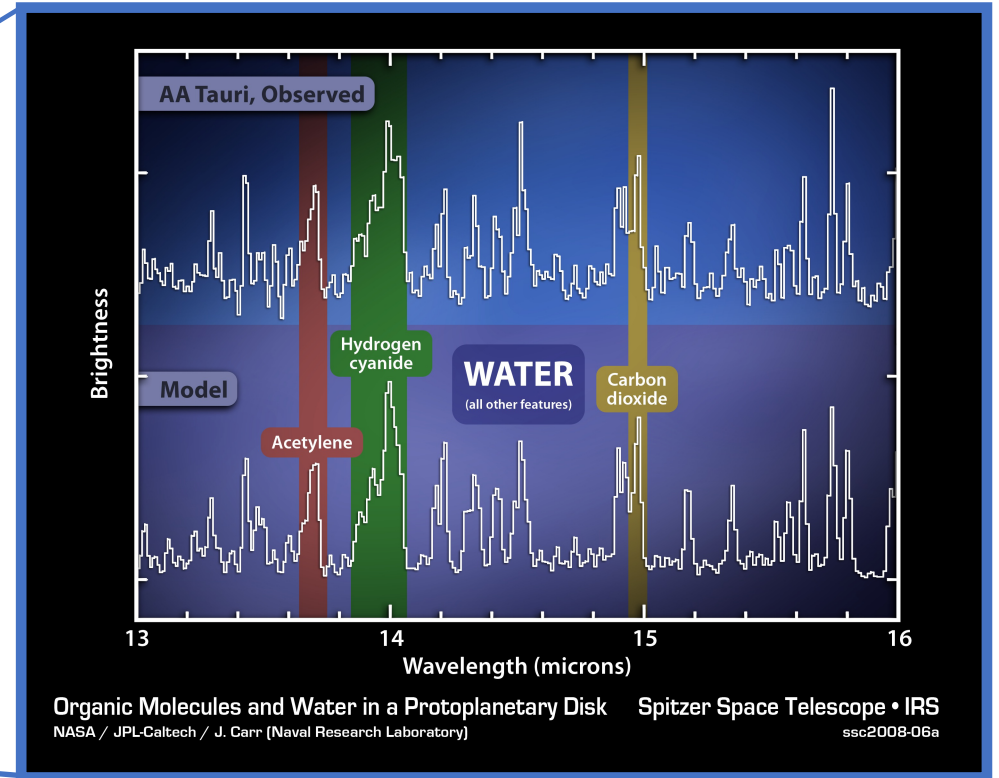
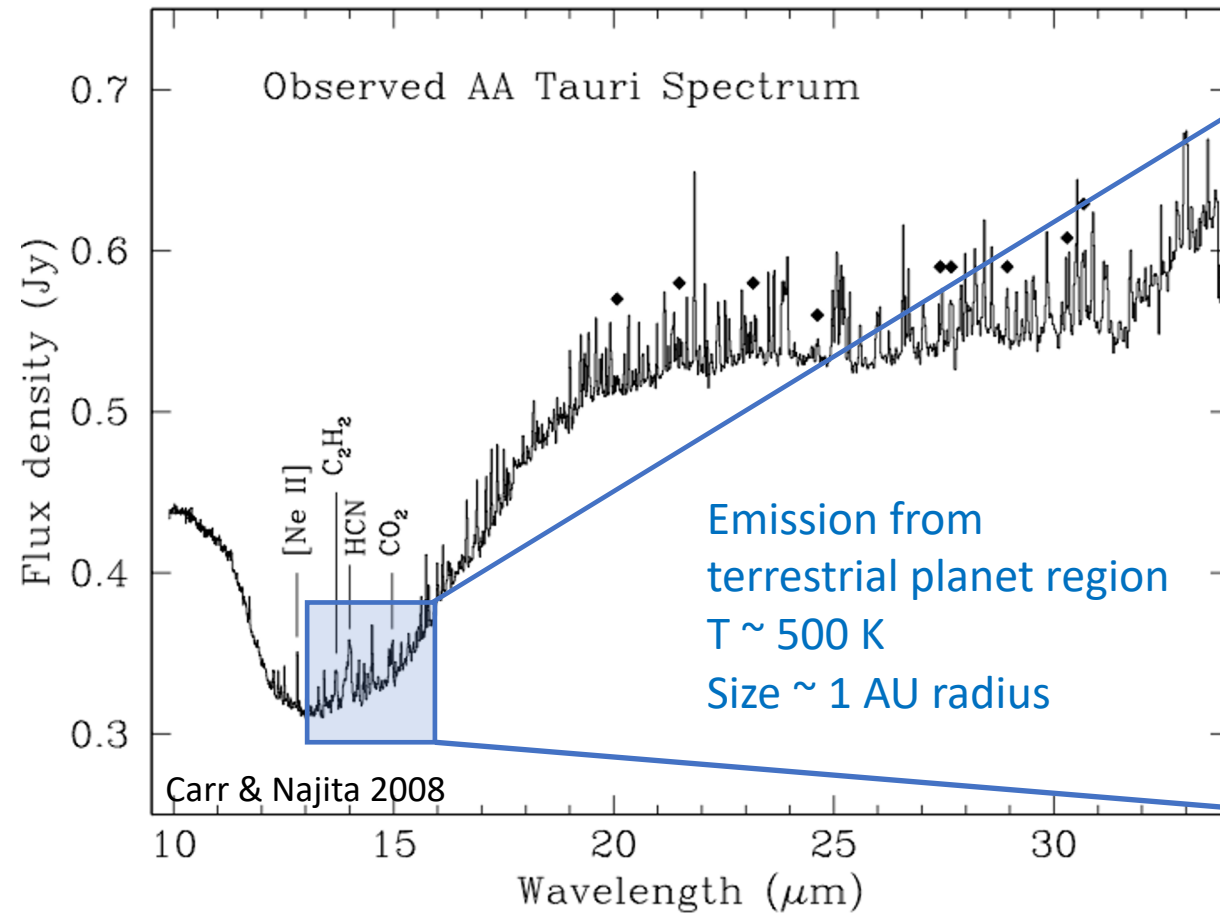
Stars accrete via magnetospheres and transport angular momentum to the inner disk, which is removed in a wind/jet from inner disk.

But how does accreting matter reach the magnetosphere?

# How do disks accrete?

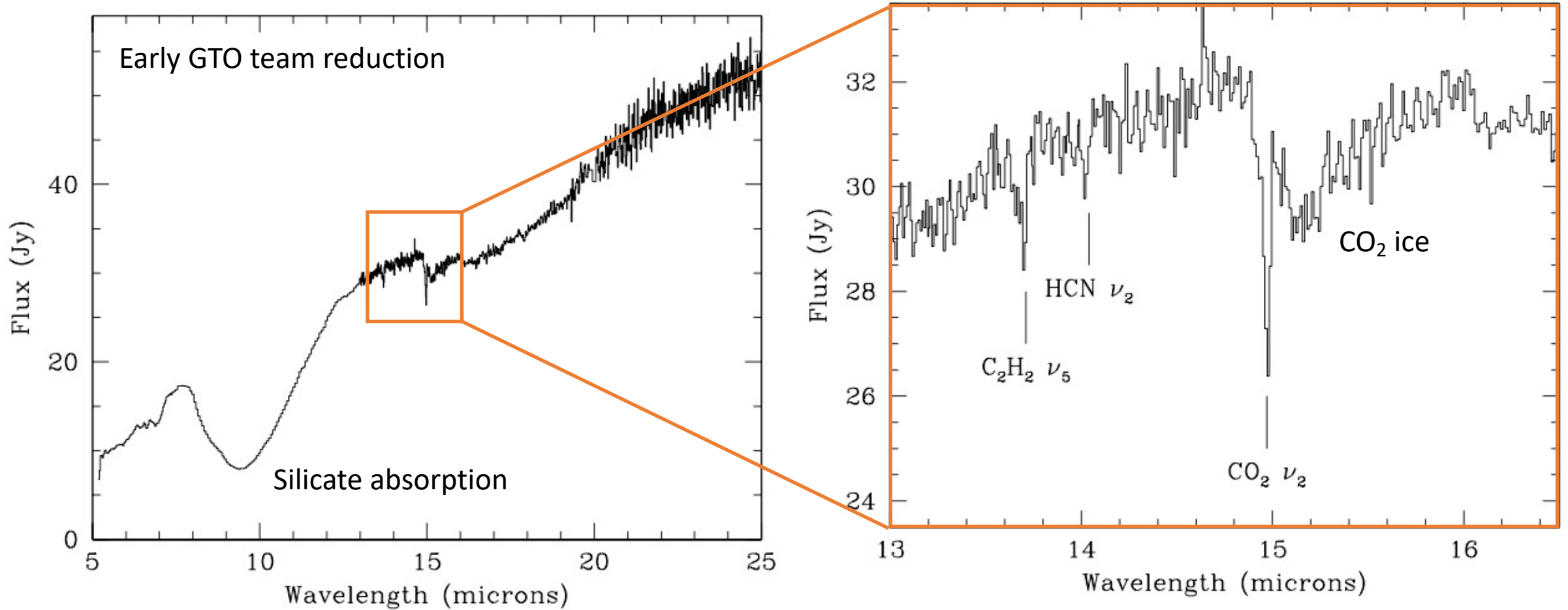


# Inner Disk Molecules in Emission





# GV Tau N: Spitzer/IRS molecular absorption



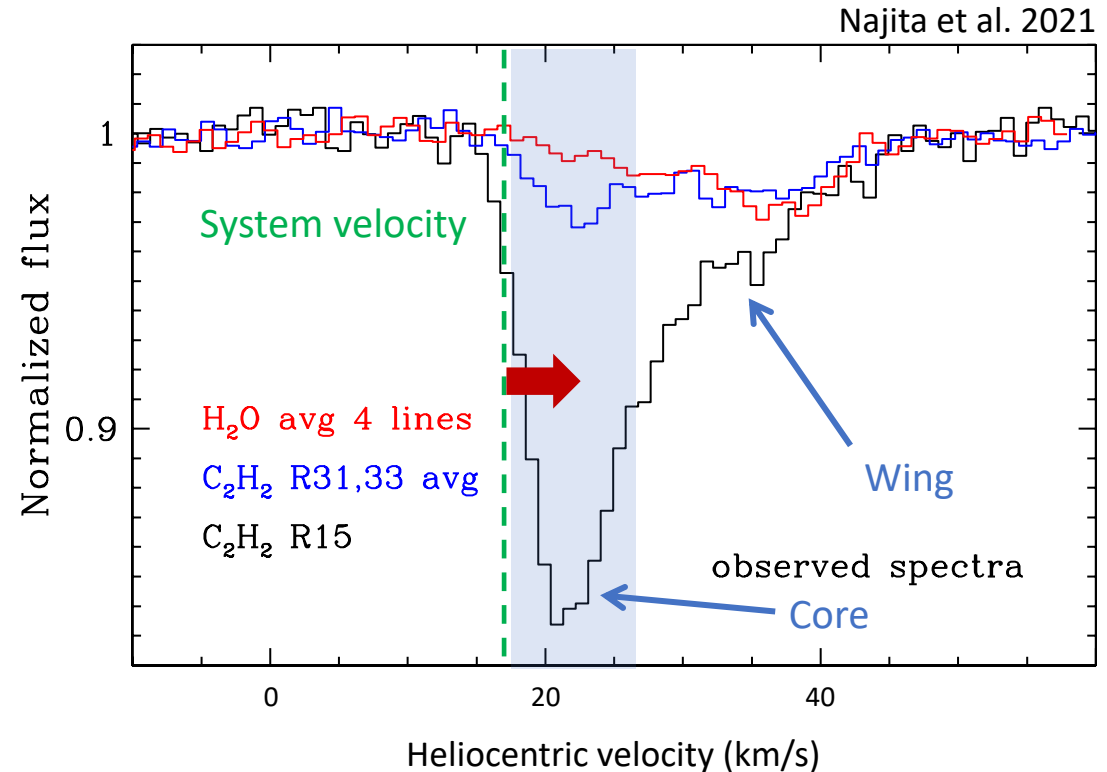
# GV Tau N: high resolution line profiles

## Redshifted line profiles

- 4-20 km/s
- C<sub>2</sub>H<sub>2</sub>, HCN, NH<sub>3</sub>, H<sub>2</sub>O
- TEXES/Gemini R=100,000

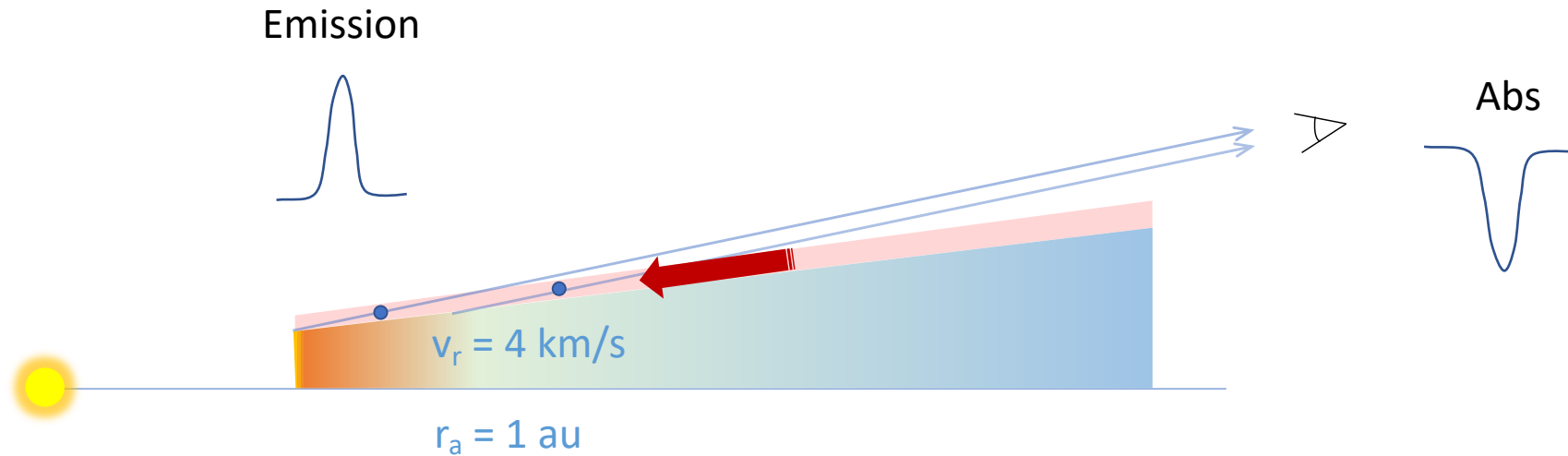
Measure component equivalent widths and infer

- Temperature T
- Absorption column density N
- Intrinsic line width  $\Delta v$
- Filling factor f



- Molecular abundances, temperature of inner disks at  $\sim 1$  au
- High column density  $\rightarrow$  disk atmosphere viewed edge on
- Supersonic inflow velocities

# Inner disk atmosphere seen edge on



Disk accretion in action through disk atmosphere?

Accretion rate  $\sim$  TTS:

$$10^{-8} - 10^{-7} M_{\text{sun}}/\text{yr}$$

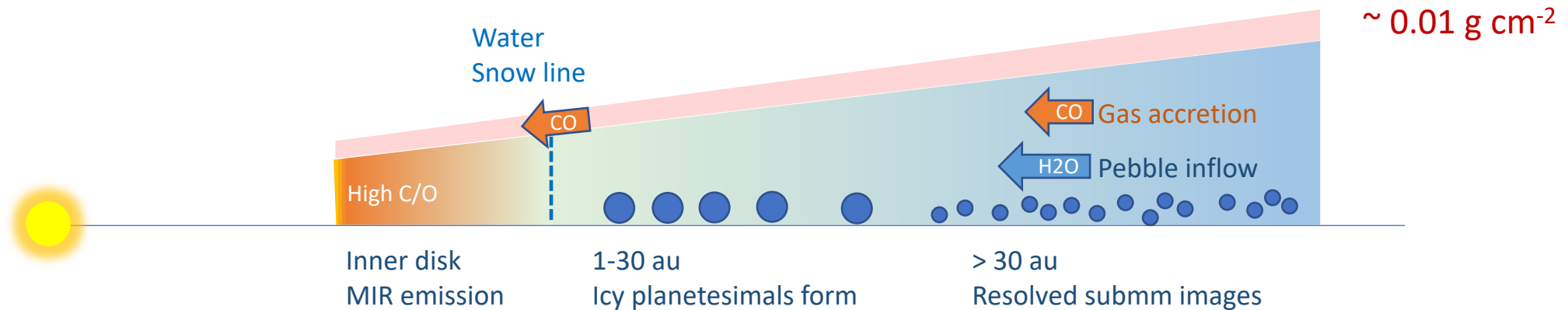
i.e.,

$$\dot{M} = 2\pi r_a m_H v_r N_{\text{perp}}$$

$$N_{\text{perp}} \sim 0.1 N_{\text{abs}} / X_{\text{mol}}$$

# Disk accretion in a thin atmosphere

Fast current...overlying a “deep ocean” of the disk...hospitable to planet formation?



# Summary: UV/Optical Spectroscopy

Rich UV-optical spectrum, long history, well studied

Diverse questions/issues:

- How stars accrete
  - Via magnetospheres not boundary layers
- Demographics of stellar accretion rates bear on:
  - Gas dissipation timescale of inner disk (planet formation, migration)
  - Nature of transition disks (due to planets or not?)

# Summary: Infrared Spectroscopy

Many diagnostics available, much less well studied

Fun, powerful techniques (e.g., spectroastrometry)

Diverse questions/issues

- Disk structure and substructure
  - Measure inner gas disk radii (planetary orbital radii)
  - Identify orbiting gaseous circumplanetary disks (birthplaces of moons)
- Disk chemistry
  - Probe planetesimal formation, an otherwise elusive process?
- Disk dynamics
  - Do disks accrete through their atmospheres?